

Metalevel Argumentation

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Abstract

The abstract nature of Dung’s seminal theory of argumentation accounts for its widespread application as a general framework for various species of non-monotonic reasoning, and, more generally, reasoning in the presence of conflict, whether such conflict arises given uncertain or incomplete information or as a result of differing opinions or preferences. In this paper we formalise reasoning *about* argumentation within the Dung argumentation paradigm itself. A metalevel Dung argumentation framework is itself instantiated by arguments that make statements *about* arguments, their interactions, and their evaluation in an object-level argumentation framework. We show how Dung’s theory, and object level extensions of Dung’s theory, such as those intended to accommodate preferences, can then be uniformly characterised by metalevel argumentation in a Dung framework. We therefore formalise a range of extensions to Dung’s theory, within the Dung paradigm itself, and show how this then provides for application of the full range of theoretical and practical developments of Dung’s theory, to extensions of Dung’s theory, and combination and further augmentation of these extensions. Furthermore, in the spirit of Dung’s original theory, metalevel frameworks adopt a level of abstraction that makes limited commitments to the instantiating logics. Metalevel frameworks thus provide principled means for instantiation by, and integration of arguments constructed from different underlying logics, where one logic may encode metalevel reasoning about the arguments and attacks defined by a theory in another logic.

Keywords: Argumentation, Dung, Metalevel, Preferences, Values, Non-monotonic reasoning, Conflict Resolution

1 Introduction

1.1 Background

The formal study of argumentation has come to be a core study within Artificial Intelligence [14]. Logic based models of argumentation have been applied to formalisation of conflict resolution in propositional and first order classical logics [2, 17], non-monotonic logics [21, 27, 31], to defeasible reasoning and conflict resolution over the full gamut of agents’ mental attitudes [1, 37], and to decision making over actions [7, 34]. The inherently dialectical nature of these models have been exploited in the development of argument game proof theories for argumentation [24, 28, 42, 53], and foundations for formalisation of argumentation-based dialogues [4, 32, 47]. Furthermore, recent major research projects [5, 6, 30] have developed general models of

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argumentation based inference, decision making and dialogue, and implementations of these models for deployment in agent and semantic grid applications.

Many of the above theoretical and practical developments build on Dung’s seminal theory of argumentation [27]. A *Dung argumentation framework* is a directed graph consisting of a set of arguments \mathcal{A} and a binary conflict based *attack* relation \mathcal{R} on \mathcal{A} . The extensions, and so justified arguments of a framework are then defined under different semantics, where the choice of semantics equates with varying degrees of scepticism or credulity. Extensions are defined through application of an ‘acceptability calculus’, whereby an argument $x \in \mathcal{A}$ is said to be *acceptable* with respect to $S \subseteq \mathcal{A}$, iff any argument y that attacks x is itself attacked by some argument z in S . For example, if S is a maximal (under set inclusion) set such that all its contained arguments are acceptable with respect to S , then S is said to be an extension under the *preferred* semantics.

Dung’s theory has been developed in a number of directions. Some works have motivated and formalised collective attacks between sets of, rather than single, arguments [18, 44]. Other works formalise the role of preferences, so that an argument x successfully attacks y iff y is not preferred to x according to some given preference relation on \mathcal{A} [2], or the value promoted by y is not ranked higher than the value promoted by x , according to a given ordering on values [12]. More recently, [38, 40]’s *Extended Argumentation Framework (EAF)* extends Dung’s framework to include arguments that attack attacks. Thus, if x and y attack each other, then an argument z justifying a preference for y over x , *attacks the attack* from x to y . In this way, the extended argumentation framework allows for argumentation based reasoning *about* possibly conflicting preference information to be accommodated within the argumentation framework itself. In [8], this idea has been further generalised so that not only can arguments attack attacks, but these attacks on attacks can themselves be attacked. Finally, a number of works augment Dung’s framework to include a *support* relation on arguments [3, 45].

The continuing development and widespread influence and application of Dung’s ideas can be attributed to the abstract nature of a Dung argumentation framework, and to the encoding of intuitive generic principles of commonsense reasoning in the acceptability calculus, embodying the key insight that an argument is not acceptable or unacceptable in itself, but relative to the context of other available arguments, not only those which attack it, but also those which attack those attackers. Its abstract nature allows for instantiation by various logical formalisms; one is free to choose a logic \mathcal{L} and define what constitutes an argument and attack between arguments defined by a theory in \mathcal{L} . Thus, a theory’s inferences can then be defined in terms of the claims of the justified arguments constructed from the theory (an argument essentially being a proof of a candidate inference — the argument’s claim — in the underlying logic). Indeed, many logic programming formalisms and non-monotonic logics (e.g. default, auto-epistemic, non-monotonic modal logics, certain instances of circumscription, and defeasible logic) have been shown to conform to Dung’s semantics [21, 26, 27, 31], thus testifying to the general applicability of the principles encoded in the acceptability calculus. Dung’s theory can therefore be understood as a *semantics* for non-monotonic reasoning. In this view, what appropriately accounts for the correctness of an inference is that an argument for the inference can be shown to rationally prevail in the face of arguments for opposing inferences, where, one can claim that: *it is application of the acceptability calculus that encodes logic neutral, rational means for establishing such standards of correctness.*

1.2 Overview of Paper

In this paper we further substantiate the above claim, by formalising reasoning *about* argumentation within the Dung argumentation paradigm itself. The basic idea is that given an object-level argumentation framework, one can consider metalevel arguments that can be explicitly categorised according to the types of claim made about the arguments and their relations in the object level framework. These metalevel arguments can then themselves be related by an attack relation in a Dung framework, where this metalevel attack relation satisfies constraints imposed by the claim based categorisation. One can then show a correspondence between the object level framework and its metalevel formulation, such that the justified arguments of the object level framework can be computed directly from its metalevel formulation.

For example, given an object level Dung framework $(\mathcal{A}, \mathcal{R})$ one can consider metalevel arguments that make claims such as ‘ x is justified’, ‘ x is rejected’, ‘ x attacks y ’, about arguments $x, y \in \mathcal{A}$. These metalevel arguments can themselves be organised into a Dung framework such that an argument claiming ‘ x is justified’ is a justified argument of the metalevel framework, iff x is a justified argument of the object level framework. Thus, the acceptability calculus applied at the metalevel characterises the use of the acceptability calculus at the object level.

The remainder of this paper is organised as follows. Section 2 reviews Dung’s abstract argumentation theory, and the various developments of the theory referred to above. In Section 3 we augment a Dung argumentation framework $(\mathcal{A}, \mathcal{R})$ to obtain a 5 tuple *Structured Argumentation Framework (SAF)* that includes a function, a language and a set of constraints, such that the function maps arguments in \mathcal{A} to claims in the language, and given this claim based categorisation of arguments, a set of constraints on \mathcal{R} is specified. We then define a specific language in which one can express claims about object level frameworks, and so identify *Metalevel Argumentation Frameworks (MAFs)* as a special class of *SAFs*. We then show how Dung frameworks, their generalisation to accommodate collective attacks, their extensions to accommodate preferences [2] and values [12], and a special, but widely applicable, class of [38]’s extended framework, can all be formulated as instances of metalevel argumentation.

In Section 4 we discuss some implications and applications of metalevel argumentation. Firstly, since *MAFs* formalise Dung argumentation and many of its developments, within the Dung paradigm itself, one can transition the full range of theoretical results and techniques for Dung argumentation, to developments of Dung argumentation. We illustrate by showing how standard argument game proof theories developed for Dung frameworks can now be applied to the metalevel formulation of value based argumentation [12], and contrast the use of such games with the more complex games specifically developed for value based argumentation [11, 13]. Secondly, in the spirit of Dung’s original theory, *MAFs* adopt a level of abstraction that makes limited commitments to the instantiating logics. Thus metalevel arguments can be instantiated based on the existence of arguments in different object level frameworks, which in turn may be constructed from different underlying logics. This not only allows for integration and further extension of different forms of abstract argumentation, but also provides principled means for instantiation by, and integration of arguments constructed from different underlying logics, where one logic may encode metalevel reasoning about the arguments and attacks defined by a theory in another logic. Section 4 illustrates these applications by discussing how value based argumentation can be extended to accommodate argumentation over different rankings of values, and how one can integrate

arguments expressing preferences and values, and how the arguments instantiating such an integration can be constructed from different underlying logical theories. In Section 5 we discuss related work, and conclude in Section 6 in which we also point to future work.

To summarise, the contributions of this paper are as follows ¹:

1. We formalise abstract metalevel argumentation frameworks that adopt the same basic machinery of a Dung framework, but overlay more structure by identifying classes of claims about arguments in object level frameworks, and thus constraints on the attack relation.
2. Dung’s abstract argumentation theory can be said to identify general dialectical principles that underpin common-sense reasoning as encoded in a range of non-monotonic reasoning formalisms. This paper promotes and substantiates this view by showing how a number of developments of Dung argumentation can be uniformly characterised in terms of these dialectical principles.
3. We show how by formalising Dung argumentation and its developments, within the Dung paradigm itself, the full range of theoretical and practical results and techniques for Dung’s work can be applied to its developments.
4. We show how metalevel argumentation frameworks provide a unifying formalism in which to integrate and further extend the various developments of Dung argumentation, and provide principled means for instantiation by, and integration of arguments constructed from different underlying logics and theories, where one theory may encode metalevel reasoning about the arguments defined by another theory in another logic.

2 Abstract Argumentation Theories

2.1 Dung’s Theory of Abstract Argumentation

We review Dung’s extension-based approach to evaluating the status of arguments [27], and then review the more recent labelling approach [22, 23, 51, 52]. We then review a recent generalisation of Dung’s theory to accommodate collective attacks.

2.1.1 Dung’s Extension-based Argumentation Semantics

Definition 1 [Dung Argumentation Framework] A Dung argumentation framework is a tuple $(\mathcal{A}, \mathcal{R})$, where \mathcal{A} is a set of arguments, and $\mathcal{R} \subseteq (\mathcal{A} \times \mathcal{A})$ is a binary attack relation on \mathcal{A} .

Figure 1 shows a Dung argumentation framework (AF) in which an arrow from x to y denotes that $x\mathcal{R}y$.

The notion of an argument being acceptable with respect to (w.r.t.) a set of arguments is then defined:

Definition 2 [Acceptability for Dung Frameworks] Let $(\mathcal{A}, \mathcal{R})$ be an AF , and $S \subseteq \mathcal{A}$. Then $x \in \mathcal{A}$ is acceptable w.r.t. S iff for all $y \in \mathcal{A}$ such that $y\mathcal{R}x$, there exists a $z \in S$ such that $z\mathcal{R}y$

¹This paper builds on and substantially extends work first presented in [41]. This work is briefly reviewed in Section 5

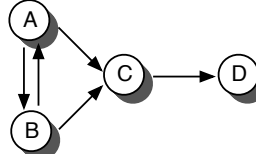


Figure 1: A Dung argumentation framework

The acceptability of arguments underpins evaluation of the status of arguments. If S is conflict free (no arguments in S attack each other), and all arguments in S are acceptable w.r.t. S , then S is said to be *admissible*. The status of arguments, under either a credulous or sceptical perspective, is then evaluated w.r.t. the extensions of a framework defined under different semantics. These semantics ‘globalise’ the essentially local notion of admissibility, by specifying properties that identify a subset of all the admissible extensions.

Definition 3 [Extensions of a Dung Framework] Let $\Delta = (\mathcal{A}, \mathcal{R})$. Let $S \subseteq \mathcal{A}$ such that $\forall x, y \in S$, it is not the case that $x\mathcal{R}y$, in which case S is said to be *conflict free*. Then:

1. S is an *admissible* extension of Δ iff each argument in S is acceptable w.r.t. S
2. S is a *complete* extension of Δ iff S is *admissible*, and every argument in \mathcal{A} that is acceptable w.r.t. S , is in S
3. S is the *grounded* extension of Δ iff S is the minimal (w.r.t. set inclusion) *complete* extension
4. S is a *preferred* extension of Δ iff S is a maximal (w.r.t. set inclusion) *complete* extension
5. S is a *stable* extension of Δ iff S is an *admissible* extension such that every argument not in S is attacked by an argument in S

Definition 4 [Status of arguments in a Dung Framework] Let $\Delta = (\mathcal{A}, \mathcal{R})$. For $s \in \{\text{complete, preferred, stable, grounded}\}$:

- If $x \in \mathcal{A}$ is in at least one s extension of Δ then x is said to be credulously justified under the s semantics.
- If $x \in \mathcal{A}$ is in all s extensions of Δ then x is said to be sceptically justified under the s semantics.
- If $x \in \mathcal{A}$ is not in any s extension of Δ then x is said to be rejected under the s semantics.

The admissible extensions of Figure 1’s AF are: \emptyset , $\{a\}$, $\{b\}$, $\{a, d\}$, and $\{b, d\}$. The complete extensions are \emptyset , $\{a, d\}$, and $\{b, d\}$. The preferred and stable extensions are $\{a, d\}$, and $\{b, d\}$, and \emptyset is the grounded extension. Note that d is sceptically justified under the preferred, but not under the grounded, semantics. All arguments are rejected under the grounded semantics, whereas only c is rejected under the preferred and stable semantics.

We introduce some notation that will be of use in the remainder of this paper:

Notation 1 Let $(\mathcal{A}, \mathcal{R})$ be an AF, and $E \subseteq \mathcal{A}$.

- $\overrightarrow{E+}$ denotes the set of attacks originating from arguments in E :
 $\overrightarrow{E+} = \{(x, y) \mid x \in E, x\mathcal{R}y\}$
- $E+$ denotes the set of arguments attacked by arguments in E :
 $E+ = \{y \mid x \in E, x\mathcal{R}y\}$
- $E-$ denotes the set of arguments that attack arguments in E :
 $E- = \{y \mid y\mathcal{R}x, x \in E\}$

2.1.2 Labellings for Argumentation Frameworks

The extensions and status of arguments in a Dung framework can be defined in terms of labellings [22, 23, 51, 52]. Here, we review the labelling approach presented in [22, 23].

Definition 5 [Labelling function] A labelling is a total function \mathcal{L} that assigns a label IN, OUT or UNDEC to each argument $x \in \mathcal{A}$ in an argumentation framework $(\mathcal{A}, \mathcal{R})$. Henceforth, we say that:

- $\text{in}(\mathcal{L}) = \{x \mid \mathcal{L}(x) = \text{IN}\}$
- $\text{out}(\mathcal{L}) = \{x \mid \mathcal{L}(x) = \text{OUT}\}$
- $\text{undec}(\mathcal{L}) = \{x \mid \mathcal{L}(x) = \text{UNDEC}\}$

Legal labellings of arguments are then defined, and used as a basis for characterising the extensions of an AF.

Definition 6 [Legal labellings] Let \mathcal{L} be a labelling for $(\mathcal{A}, \mathcal{R})$ and $x \in \mathcal{A}$.

- x is legally IN iff x is labelled IN and every y that attacks x is labelled OUT
- x is legally OUT iff x is labelled OUT and there is at least one y that attacks x and y is labelled IN
- x is legally UNDEC iff there is no y that attacks x such that y is labelled IN, and it is not the case that: for all $y \in \mathcal{A}$ if y attacks x , then y is labelled OUT²

Definition 7 [Labellings for Extensions] For $l \in \{\text{IN}, \text{OUT}, \text{UNDEC}\}$ an argument x is said to be illegally l iff x is labelled l , and it is not legally l .

- An admissible labelling \mathcal{L} is a labelling without arguments that are illegally IN and without arguments that are illegally OUT.
- A complete labelling \mathcal{L} is an admissible labelling without arguments that are illegally UNDEC

Let \mathcal{L} be a complete labelling. Then:

- \mathcal{L} is a grounded labelling iff there does not exist a complete labelling \mathcal{L}' such that $\text{in}(\mathcal{L}') \subset \text{in}(\mathcal{L})$

²In other words, x is legally UNDEC iff it has at least one attacker that is labelled UNDEC and no attacker that is labelled IN

- \mathcal{L} is a preferred labelling iff there does not exist a complete labelling \mathcal{L}' such that $\text{in}(\mathcal{L}') \supset \text{in}(\mathcal{L})$
- \mathcal{L} is a stable labelling iff $\text{undec}(\mathcal{L}) = \emptyset$

In [23], the following theorem is shown to hold:

Theorem 1 Let $\Delta = (\mathcal{A}, \mathcal{R})$. For $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$: E is an s extension of Δ iff there exists an s labelling \mathcal{L} with $\text{in}(\mathcal{L}) = E$.

Notice the extra expressivity compared with the extension based approach. An s labelling \mathcal{L} not only identifies the arguments in an s extension E (the arguments labelled IN), but also the arguments in $E+$ and $E-$ (the union of which are the arguments labelled OUT). Note that since each s extension is admissible, it then follows that it is always the case that $E- \subseteq E+$. Also note that those arguments labelled UNDEC are neither in E , $E+$ or $E-$, and so are the arguments that are neither in the s extension E identified by the IN arguments, or attacked by (an argument in) E . Observe that the following follows straightforwardly from Definition 6 and Theorem 1.

Proposition 1 Let $\Delta = (\mathcal{A}, \mathcal{R})$, and for $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, let E be an s extension of Δ . Then there exists an s labelling \mathcal{L} of Δ such that $\text{in}(\mathcal{L}) = E$, and $\text{out}(\mathcal{L}) = (E+) \cup (E-)$.

Note also, that we can say that the arguments labelled OUT or UNDEC are *potentially* rejected arguments in the sense that if these arguments are OUT or UNDEC in all other s labellings, then they are said to be *rejected* as defined in Definition 4.

2.1.3 Generalising Dung's Theory with Collective Attacks

Recent works generalise binary attacks to allow for attacks between sets of arguments [18, 44]. We refer the reader to these works for motivation of this generalisation. Here, we briefly review [44], in which the attack relation is defined from sets of arguments to single arguments.

Definition 8 [DungC Framework with Collective Attacks] A *DungC* argumentation framework is a tuple $(\mathcal{A}, \mathcal{R})$, where \mathcal{A} is a set of arguments, and $\mathcal{R} \subseteq (2^{\mathcal{A}} \setminus \{\emptyset\}) \times \mathcal{A}$.

- $x \in \mathcal{A}$ is acceptable w.r.t. $S \subseteq \mathcal{A}$ iff for all $B \subseteq \mathcal{A}$ such that $B\mathcal{R}x$, there exists a $C \subseteq S$ such that $C\mathcal{R}y$ for some $y \in B$.
- $S \subseteq \mathcal{A}$ is conflict free iff $\forall S' \subseteq S, \forall x \in S$ it is not the case that $S'\mathcal{R}x$

Given the above definitions of conflict free and acceptability for DungC frameworks, the extension-based semantics and status of arguments are defined in the same way as for a standard Dung framework (i.e., as in Definitions 3 and 4). Hence, one can straightforwardly see that a standard Dung framework is simply a special case of a DungC framework. If each attack originates from a singleton set of arguments, then the definitions of acceptability and conflict-free in Definition 8 coincide with those given in Definitions 2 and 3. As one would therefore expect, the fundamental results that hold for Dung frameworks are also shown to hold for DungC frameworks [44].

2.2 Distinguishing Attack from Defeat

Dung’s extensional semantics may yield multiple extensions, so that one may then be faced with the problem of how to choose between conflicting *credulously* justified arguments that belong to at least one, but not all extensions. This of course equates with the familiar multiple extension problem in non-monotonic reasoning, illustrated by the well known *flying or not flying Tweety* and *Nixon diamond* examples [49].

One solution is to prioritise the object level rules that yield conflicting conclusions, where the rationale for prioritisation may or may not be explicit. For example, given that penguins are a subclass of birds, the specificity principle is used to prioritise the *penguins don’t fly* rule over the *birds fly* rule, so yielding the single extension containing the conclusion Tweety doesn’t fly. Hence, works such as [46] and [48] apply priorities to conflicting rules in the underlying logical formalisms that instantiate a Dung framework.

In what follows, we review approaches [2, 12, 38] that augment Dung’s framework so as to formalise the role of the relative strengths of arguments at the *abstract* level. The basic idea in all these works is that an attack by x on y succeeds as a *defeat* only if y is not stronger than x . The justified arguments are then evaluated on the basis of the derived defeat relation, rather than the original attack relation. For example, given two symmetrically attacking arguments, x claiming Tweety flies, and y claiming Tweety does not fly (constructed in some instantiating logical formalism), then the specificity principle determines that y is stronger than x , so that x ’s attack on y does not succeed as a defeat, and we are left with only y defeating x ; only y is a justified argument.

Consider also domains in which arguments may not be of the form *premises and premises imply conclusion, so conclusion* but rather result from the instantiation of some other *argument schemes* [54] that are stereotypical patterns of reasons that provide presumptive reasons for accepting the conclusions. One class of examples is where we have a reason to believe something on the basis of being told that it is true. Examples of this class of argument scheme include *Argument from Expert Opinion* and *Argument from Witness Testimony*. Suppose that Tweedledum says that he saw Alice playing croquet. This is an argument that Alice was playing croquet, which we believe to be true given no reason to discount it. Suppose, however, Tweedledee says Alice was not playing croquet. The Tweedledum and Tweedledee arguments attack each other, but given that we know Tweedledum is an entirely reliable witness, and that Tweedledee invariably disagrees with his brother on principle, without any real regard to the facts, we will deny that Tweedledee’s argument is strong enough to successfully attack and so defeat the argument based on Tweedledum’s evidence. This situation is very common: the arguments considered will often come from a variety of sources, and it is the perceived relative reliability of the sources that determines which attacks succeed as defeats (for example many court cases are presented with conflicting testimony, and turn on which the jury chooses to believe).

Thus far we have considered examples in which the relative strengths of arguments is used to ‘resolve’ symmetric attacks in order to obtain asymmetric defeats. In practical reasoning contexts, it may often be the case that asymmetric attacks fail, so that if x attacks y (but not vice versa) and y is deemed stronger than x , then the attack fails, and neither argument defeats each other. This means that based on the defeat relation, x and y may both be evaluated as justified. This would clearly be inappropriate if accepting arguments x and y would allow us to conclude logically incompatible states of affairs as when arguing about what is believed to be the case. However, this may be appropriate when applying argumentation to practical reasoning. Consider value based

argumentation over action [7] in which an argument y justifying a course of action, such as going to a restaurant, is asymmetrically attacked by x claiming that the restaurant is prohibitively expensive. If the value promoted by y (gastronomic pleasure) is ranked higher than that promoted by x (reducing expenditure), then the attack is removed and both arguments may then be justified. One accepts that the action of going to the restaurant is expensive, while still pursuing the course of action. Note too that the resolution is not forced one way or the other: whether I choose to go to the restaurant depends on my view of the relative importance of money and gastronomic pleasure, and different individuals may legitimately make different choices. Equally with different sources: some may trust Tweedledum more, some Tweedledee and others may believe there is nothing to choose between them.

In order to accommodate the idea that in many domains of argumentation there is some subjective element of choice in deciding whether or not an attack succeeds, attempts have been made to extend Dung’s framework by adding some additional mechanism by which an attack may fail, even though the attacking argument cannot be rejected. We now briefly review three such efforts.

2.3 Preference based Argumentation

In Preference based Argumentation [2], a Dung framework is augmented with a preference ordering on \mathcal{A} , so that an attack by x on y succeeds as a defeat, only if y is not strictly preferred to x .

Definition 9 [Preference Based Argumentation] A *Preference based Argumentation Framework (PAF)* is a tuple $(\mathcal{A}, \mathcal{R}, \mathcal{P})$, where \mathcal{A} is a set of arguments, $\mathcal{R} \subseteq \mathcal{A} \times \mathcal{A}$, and \mathcal{P} is a preordering on $\mathcal{A} \times \mathcal{A}$.

Let $\gg_{\mathcal{P}}$ denote the strict ordering associated with \mathcal{P} , i.e., $y \gg_{\mathcal{P}} x$ iff $(y, x) \in \mathcal{P}$ and $(x, y) \notin \mathcal{P}$. Then:

- $\forall x, y \in \mathcal{A}$, x *defeats* y iff $x\mathcal{R}y$ and not $(y \gg_{\mathcal{P}} x)$ ³.
- For $s \in \{\text{admissible, complete, preferred, stable, grounded}\}$, E is an s extension of $(\mathcal{A}, \mathcal{R}, \mathcal{P})$ iff E is an s extension of the Dung framework $(\mathcal{A}, \text{defeat})$.

The justified arguments of a PAF $(\mathcal{A}, \mathcal{R}, \mathcal{P})$ are therefore the justified arguments of the Dung framework $(\mathcal{A}, \text{defeat})$. For example, consider two individuals **P** and **O** exchanging arguments a , b about the weather forecast:

P₁ : “Today will be dry in London since the BBC forecast sunshine” = a

O₁ : “Today will be wet in London since CNN forecast rain” = b

a and b claim contradictory conclusions and so attack each other. Under Dung’s preferred semantics, there are two extensions: $\{a\}$ and $\{b\}$. Suppose that a is preferred to b because the BBC are deemed more trustworthy than CNN. We have the PAF:

$$(\mathcal{A} = \{a, b\}, \mathcal{R} = \{(a, b), (b, a)\}, \mathcal{P} = \{(a, b)\})$$

Since $a \gg_{\mathcal{P}} b$, then only a defeats b . We thus obtain the single preferred extension $\{a\}$ of the Dung framework $(\mathcal{A} = \{a, b\}, \text{defeat} = \{(a, b)\})$.

³Notice that contrary to recent convention, [2] adopt the terminology in reverse, so that \mathcal{R} is referred to as a *defeat* relation, and x *attacks* y iff $x\mathcal{R}y$ and not $(y \gg_{\mathcal{P}} x)$.

2.4 Value based Argumentation

The preference relation in *PAFs* is entirely abstract. Value based Argumentation [12] give more content to the notion of preferences, by relating the strength of arguments to the values promoted by accepting them; for example an argument to raise taxes is that it would promote equality, and an argument to cut taxes is that it would promote enterprise. Note that preferences over values are subjective, and depend on the person or persons, i.e., the *audience*, to whom the argument is addressed. Which argument is accepted will depend on whether a given audience prefers equality to enterprise, or vice versa. Hence, a Value based Argumentation Framework (*VAF*) extends Dung’s framework to include a set of values, a function mapping arguments to these values and a set of audiences (i.e., a set of total orderings on these values). An argument x *defeats* y w.r.t. an audience a , if x attacks y , and a does not rank the value promoted by y higher than the value promoted by x . The extensions of a *VAF* are then the extensions of the Dung framework defined by the *defeat* relation.

Definition 10 [Value Based Argumentation]

- A Value based Argumentation Framework is a 5-tuple $(\mathcal{A}, \mathcal{R}, V, val, P)$ where val is a function from \mathcal{A} to a non-empty set of values V , and P is a set $\{a_1, \dots, a_n\}$, where each a_i names a total ordering (audience) $>_{a_i}$ on $V \times V$.
- An *audience specific VAF* (*aVAF*) is a 5-tuple $(\mathcal{A}, \mathcal{R}, V, val, a)$ where $a \in P$.
- Given an *aVAF* $(\mathcal{A}, \mathcal{R}, V, val, a)$, $\forall x, y \in \mathcal{A}$:
 x *defeats* _{a} y iff $x\mathcal{R}y$, and it is not the case that $val(y) >_a val(x)$.
- For $s \in \{\text{admissible, complete, preferred, stable, grounded}\}$, E is an s extension of $(\mathcal{A}, \mathcal{R}, V, val, a)$ iff E is an s extension of the Dung framework $(\mathcal{A}, \text{defeat}_a)$.

The justified arguments of an *aVAF* $(\mathcal{A}, \mathcal{R}, V, val, a)$ are therefore the justified arguments of the Dung framework $(\mathcal{A}, \text{defeat}_a)$. For example, consider the *aVAF* consisting of arguments y and x , where $x\mathcal{R}y$, $val(y) = \text{‘gastronomic_pleasure’}$, $val(x) = \text{‘financial_prudence’}$, and audience a orders the former value higher than the latter (recall the example in Section 2.2). Then neither argument *defeat* _{a} each other, and so both are sceptically justified arguments of $(\mathcal{A}, \text{defeat}_a)$ (under all of the Dung semantics).

2.5 Extended Argumentation

In *PAFs* and *VAFs*, preference orderings and values are applied to generate a defeat relation which is a subset of the attack relation containing only those attacks that are successful. In *Extended Argumentation* [38], this is achieved by directly attacking attacks with arguments, so that if x attacks y , and z attacks the attack from x to y , then z is interpreted as claiming that y is stronger than x . The rationale for concluding that y is stronger than x is thus itself now part of the domain of discourse, and is encoded as an argument z in the object-level framework, where the rationale may be based on preferences, values, sources, or any other reason. One can also therefore account for the fact that preferences may vary according to context, and because information sources may disagree as to the criteria by which the strengths of arguments should be valued, or the valuations assigned for a given criterion. In other words, one can account for reasoning and indeed arguing *about*, as well as *with*, defeasible and possibly conflicting information about the relative strengths of arguments. Consider Section 2.3’s extended

dialogue about the weather:

P_1 : “Today will be dry in London since the BBC forecast sunshine” = a

O_1 : “Today will be wet in London since CNN forecast rain” = b

P_2 : “But the BBC are more trustworthy than CNN” = c

O_2 : “However, statistics show that CNN are more accurate than the BBC” = d

O_3 : “And a statistical comparison is more rational than a comparison based on instincts about relative trustworthiness” = e

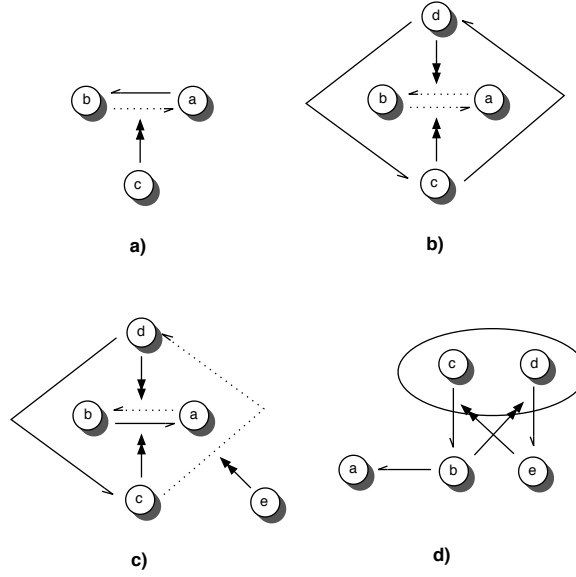


Figure 2: Motivating Extended Argumentation Frameworks

In an Extended Argumentation Framework (*EAF*), c is an *argument* claiming that a is stronger than b , and so attacks b 's attack on a . Hence, b 's attack on a does not succeed as a defeat, and we are left only with a successfully attacking (defeating) b (see Figure 2a in which we introduce the notation $y \rightarrow x$ for an attack, and $z \rightarrow (y \rightarrow x)$ for an attack on an attack). Now $\{c, a\}$ is the only preferred extension and so a is sceptically justified. d claims b is preferred to a and so attacks a 's attack on b . Now $\{c, a\}$ and $\{d, b\}$ are preferred since the choice between a and b is unresolved. Notice that since c and d make contradictory claims about the relative strengths of a and b , c and d attack each other (Figure 2b)). However e then attacks the attack from c to d (Figure 2c)), and so d defeats c , b defeats a , and the discussion concludes in favour of b ($\{e, d, b\}$ is the single preferred extension). *EAFs* thus extend Dung frameworks with a second attack relation \mathcal{D} from arguments to attacks:

Definition 11 [Extended Argumentation Framework] An *Extended Argumentation Framework* is a tuple $(\mathcal{A}, \mathcal{R}, \mathcal{D})$, where \mathcal{A} is a set of arguments, $\mathcal{R} \subseteq \mathcal{A} \times \mathcal{A}$, and:

- $\mathcal{D} \subseteq \mathcal{A} \times \mathcal{R}$
- If $(z, (x, y)), (z', (y, x)) \in \mathcal{D}$ then $(z, z'), (z', z) \in \mathcal{R}$

The notion of a successful attack, henceforth referred to as a *defeat*, is then parameterised w.r.t. preferences specified by some given set S of arguments:

Definition 12 [Defeat for EAFs] y defeats $_S$ x , denoted $y \rightarrow^S x$, iff $(y, x) \in \mathcal{R}$ and $\neg \exists z \in S$ s.t. $(z, (y, x)) \in S$.

In the weather example, a defeats $_{\emptyset}$ b but a does not defeat $_{\{d\}}$ b . A conflict free set of arguments is then defined to account for the case where y *asymmetrically* attacks x , but given a preference for x over y , both may appear in a conflict free set and hence an extension (as in [12]). Notice that a conflict free set does not admit arguments that symmetrically attack, irrespective of the preference arguments contained.

Definition 13 [Conflict free for EAFs] S is conflict free iff $\forall x, y \in S$: if $(y, x) \in \mathcal{R}$ then $(x, y) \notin \mathcal{R}$, and $\exists z \in S$ s.t. $(z, (y, x)) \in \mathcal{D}$.

The acceptability of an argument x w.r.t. a set S is now defined for an *EAF*. The basic idea is that for any attacker y of x , a reinstating attack $z \rightarrow y$ from $z \in S$ must itself be reinstated against \mathcal{D} attacks on $z \rightarrow y$. The definition is motivated in more detail in [38] and requires the notion of a *reinstatement set* for a defeat⁴.

Definition 14 [Reinstatement set] Let $S \subseteq \mathcal{A}$ in $(\mathcal{A}, \mathcal{R}, \mathcal{D})$. Let $R_S = \{x_1 \rightarrow^S y_1, \dots, x_n \rightarrow^S y_n\}$ where for $i = 1 \dots n$, $x_i \in S$. Then R_S is a reinstatement set for $a \rightarrow^S b$, iff

- $a \rightarrow^S b \in R_S$, and
- $\forall x \rightarrow^S y \in R_S, \forall y' \text{ s.t. } (y', (x, y)) \in \mathcal{D}, \exists x' \rightarrow^S y' \in R_S$

Definition 15 [Acceptability for EAFs] x is acceptable w.r.t. $S \subseteq \mathcal{A}$ iff $\forall y$ s.t. $y \rightarrow^S x$, $\exists z \in S$ s.t. $z \rightarrow^S y$ and there is a *reinstatement set* for $z \rightarrow^S y$.

In Figure 2d), a is acceptable w.r.t. S . We have $b \rightarrow^S a$, $c \rightarrow^S b$, and there is a reinstatement set $\{c \rightarrow^S b, d \rightarrow^S e\}$ for $c \rightarrow^S b$. Note that if we had $f \rightarrow (d \rightarrow e)$, and no argument in S defeating f , there would be no reinstatement set, and a would not be acceptable w.r.t. S .

Given the definitions of conflict free and acceptability for *EAFs*, admissible, complete, preferred and stable semantics for *EAFs* are now defined as for Dung argumentation frameworks in Definition 3 (except that x defeats $_S$ y replaces $(x, y) \in \mathcal{R}$ in the definition of stable extensions). In [38] it is shown that *EAFs* inherit many of the results that hold for Dung frameworks (e.g., Dung's fundamental lemma). However, an *EAF's* characteristic function is not in general monotonic. Recall that the grounded extension of a Dung framework is its minimal (under set inclusion) complete extension. A complete extension can equivalently be characterised as a fix point of a Dung framework's characteristic function F which given a set of arguments S returns the arguments S' acceptable w.r.t. S ($S' = F(S)$). Since F is monotonic ($S \subseteq S'$ implies $F(S) \subseteq F(S')$) a least fixed point characterising the grounded extension can be guaranteed. However, the characteristic function F of an *EAF* is not monotonic, so that the grounded extension of a finitary *EAF* (in which arguments and attacks are attacked by at most a finite number of arguments) is defined by iteration of the function, that starting with the empty set, does yield a monotonically increasing sequence. Let $G^0 = \emptyset$, $G^{i+1} = F(G^i)$. The grounded extension of an *EAF* is defined as $\bigcup_{i=0}^{\infty} G^i$.

⁴This ensures satisfaction of an intuitive requirement (Dung's fundamental lemma [27]) on what it means for an argument to be acceptable w.r.t. an admissible set S , viz. that *if x is acceptable with respect to S , then $S \cup \{x\}$ is admissible*

3 Formalising Abstract Argumentation in Metalevel Argumentation Frameworks

In this section we formalise Metalevel Argumentation Frameworks that essentially augment a Dung framework $(\mathcal{A}, \mathcal{R})$ with a language for representing the claims of arguments in \mathcal{A} , and constraints on the attack relation \mathcal{R} that account for the arguments' claims. The arguments in \mathcal{A} are arguments claiming statements *about* object level abstract argumentation frameworks, and the constraints on \mathcal{R} essentially characterise the reasoning by which one evaluates the justified arguments of the object level framework. We then show how the varieties of abstract argumentation reviewed in Section 2 can be formalised as instances of metalevel argumentation.

3.1 Introducing Metalevel Argumentation

Section 2's review of abstract argumentation described how, in general, establishing that an argument x is justified under a semantics s is based on evaluation of the acceptability of x w.r.t. sets of arguments. The acceptability of x w.r.t. some subset S of \mathcal{A} , hinges on whether attacks of the form $y\mathcal{R}x$ succeed as defeats. If for each such $y\mathcal{R}x$, y is successfully attacked (defeated) by some $z \in S$, then z effectively undermines the success of the attack from y to x ; z can be said to *reinstate* x . The rules defining legal labelling assignments for Dung frameworks correspond intuitively to the extension-based use of this reinstatement principle:

- R1 x is legally IN (i.e., x is in an admissible extension) in an s labelling (credulously justified under the semantics s) iff every attack $y\mathcal{R}x$ on x fails.
- R2 An attack $y\mathcal{R}x$ fails if y is OUT (i.e., y is attacked by a reinstating z that is IN)
- R3 x is legally OUT (potentially rejected under the semantics s) if at least one attack $y\mathcal{R}x$ succeeds (i.e., y in IN)

Given a Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$, a statement asserting the existence of an argument $x \in \mathcal{A}$, and its purported membership of an admissible extension of Δ , constitutes a metalevel argument ξ claiming ' x is justified'. That is to say, ξ is an argument of the form 'there is an $x \in \mathcal{A}$ that is an admissible extension of Δ , and so x is justified'. Note that logics for asserting statements of this kind have been proposed in [19, 35, 55] and will be briefly reviewed in Section 5.

- MR1 The existence of an attack $y\mathcal{R}x$, constitutes a metalevel argument α , that claims that ' y successfully attacks and so defeats x '. Since the justified status of x is challenged by a defeat on x , we therefore have a metalevel attack from α to ξ . Hence, a metalevel attack on α , challenging y 's defeat of x and so reinstating ξ , characterises the object level reinstatement of x .
- MR2 y does not defeat x if y is rejected (i.e., R2). Hence, in the metalevel, α is attacked by an argument τ claiming that ' y is rejected'. Thus τ reinstates ξ .
- MR3 y defeats x if y is justified. Thus, in the metalevel, the argument ψ claiming that ' y is justified' attacks the argument τ claiming that ' y is rejected', so reinstating the argument α , that claims that ' y defeats x '.

The metalevel arguments and their attacks are illustrated in Figure 3a. Figure 3b shows the metalevel argumentation corresponding to the object level reinstatement of x , by some z that attacks y . At the metalevel, we have an argument claiming ' z is justified' that reinstates the metalevel argument claiming z defeats y , in turn reinstating τ claiming ' y is rejected', which in turn reinstates ξ claiming ' x is justified'. Finally, Figure 3c shows the metalevel argumentation corresponding to an object level symmetric attack between arguments x and y ($x\mathcal{R}y$ and $y\mathcal{R}x$). In this case the metalevel argumentation characterises the object level reinstatement of x by x itself.

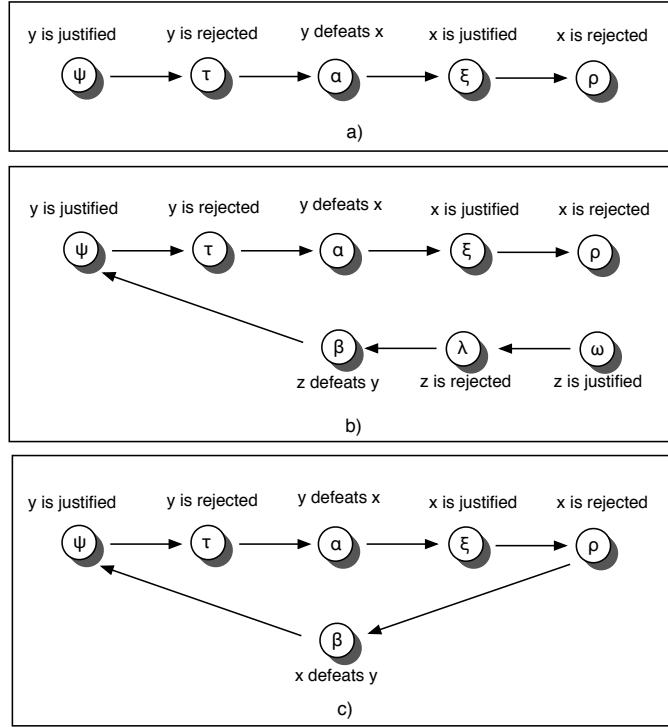


Figure 3: Meta-level arguments and their attacks

In our description of metalevel arguments, we have assumed their classification according to the nature of the claims they make about an object level framework, and based on this classification the attacks amongst these metalevel arguments. This suggests the more general notion of a structured argumentation framework, whereby one augments a Dung framework $(\mathcal{A}, \mathcal{R})$ to include a mapping \mathcal{C} from arguments in \mathcal{A} to claims specified in some language \mathcal{L} , and based on these claims a set of constraints that are thus imposed on \mathcal{R} .

Definition 16 [Structured Argumentation Frameworks] A Structured Argumentation Framework (*SAF*) is a tuple $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{C}, \mathcal{L}, \mathcal{D})$, where:

- $(\mathcal{A}, \mathcal{R})$ is a Dung argumentation framework
- \mathcal{L} is a claim language
- \mathcal{C} is a claim function mapping arguments in \mathcal{A} to sets of wff in \mathcal{L}

- \mathcal{D} is a set of constraint rules on \mathcal{R} of the form:

$$\text{if } l \in \mathcal{C}(\alpha) \text{ and } l' \in \mathcal{C}(\beta) \text{ then } (\alpha, \beta) \in \mathcal{R}$$

where $\alpha, \beta \in \mathcal{A}$, and l, l' are wff of \mathcal{L} .

In general, we say that an attack relation \mathcal{R} is defined by \mathcal{D} if whenever $(\alpha, \beta) \in \mathcal{R}$ then the claims of α and β satisfy the antecedent of some constraint rule in \mathcal{D} .

For $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, we say that E is an s extension of Δ iff E is an s extension of $(\mathcal{A}, \mathcal{R})$, and $\alpha \in \mathcal{A}$ is a justified argument of Δ iff α is a justified argument of $(\mathcal{A}, \mathcal{R})$.

We now identify a special class of *SAFs* — Metalevel Argumentation Frameworks (*MAFs*) — by specifying a language \mathcal{L} whose wff are built from constants, sets of constants, sets of pairs of constants (where these constants may name arguments and or values), and predicates of the form *justified, rejected, defeat* e.t.c. Claims in this language will thus refer to the properties of arguments, values and preferences in an object level framework.

Definition 17 [Metalevel Argumentation Frameworks] A Metalevel Argumentation Framework (*MAF*) is a *SAF* $\Delta_{\mathcal{M}} = (\mathcal{A}, \mathcal{R}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D})$, where $\mathcal{L}_{\mathcal{M}}$ consists of a countable set of constant symbols and the set of predicates:

$$\{\text{justified, defeat, rejected, preferred, val, val_pref, audience}\}.$$

The set of wff of $\mathcal{L}_{\mathcal{M}}$ is defined by the following BNF:

$$\begin{aligned} \mathcal{L}_{\mathcal{M}} : X ::= & x, \{x_1, \dots, x_n\}, \{(x_1, x_2), \dots, (x_m, x_n)\} \mid \text{justified}(X) \mid \\ & \text{rejected}(X) \mid \text{defeat}(X, X') \mid \text{preferred}(X, X') \mid \text{val}(X, X') \mid \text{val_pref}(X, X') \\ & \mid \text{audience}(X) \end{aligned}$$

where x, x_i ranges over the constant symbols.

In the following sections we show how the varieties of abstract argumentation reviewed in Section 2 can be formalised as instances of argumentation in a *MAF*. In each case, the constraints in \mathcal{D} characterise evaluation of the justified arguments in the object level framework.

3.2 Formalising Dung's abstract argumentation theory in Metalevel Argumentation Frameworks

A *MAF*'s formalisation of Dung argumentation consists of: i) metalevel arguments whose construction is based on the existence of object level attacks, and so claim defeats between object level arguments; ii) metalevel arguments whose construction is based on the existence of object level arguments and their purported membership or non-membership of admissible extensions, and that claim that the object level arguments are justified, respectively rejected; iii) constraints on the metalevel attack relation that capture MR1 – MR3 in Section 3.1:

Definition 18 [Metalevel Dung Argumentation] A Dung *MAF* is a tuple $(\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_d)$, where: $\mathcal{D}_d = \{$

$$D1 : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Y, X) \text{ and } \mathcal{C}(\beta) = \text{justified}(X) \text{ then } (\alpha, \beta) \in \mathcal{R}_{\mathcal{M}}$$

$$D2 : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Y, X) \text{ and } \mathcal{C}(\beta) = \text{rejected}(Y) \text{ then } (\beta, \alpha) \in \mathcal{R}_{\mathcal{M}}$$

$D3$: if $\mathcal{C}(\alpha) = \text{justified}(X)$ and $\mathcal{C}(\beta) = \text{rejected}(X)$ then $(\alpha, \beta) \in \mathcal{R}_{\mathcal{M}}$

Definition 19 [Mapping Dung Frameworks to Metalevel Frameworks] A Dung *MAF* $\Delta_M = (\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_d)$ is said to be a metalevel formulation of the Dung *AF* $\Delta = (\mathcal{A}, \mathcal{R})$ iff:

- $\lceil x \rceil$ is a constant in $\mathcal{L}_{\mathcal{M}}$ iff $x \in \mathcal{A}$ ⁵
- $\mathcal{A}_{\mathcal{M}}$ is the union of the disjoint sets $\mathcal{A}_{\mathcal{M}1}, \mathcal{A}_{\mathcal{M}2}, \mathcal{A}_{\mathcal{M}3}$, where:
 1. $\alpha \in \mathcal{A}_{\mathcal{M}1}, \mathcal{C}(\alpha) = \text{justified}(\lceil x \rceil)$ iff $x \in \mathcal{A}$
 2. $\beta \in \mathcal{A}_{\mathcal{M}2}, \mathcal{C}(\beta) = \text{rejected}(\lceil x \rceil)$ iff $x \in \mathcal{A}$
 3. $\gamma \in \mathcal{A}_{\mathcal{M}3}, \mathcal{C}(\gamma) = \text{defeat}(\lceil y \rceil, \lceil x \rceil)$ iff $(y, x) \in \mathcal{R}$
- $\mathcal{R}_{\mathcal{M}}$ is defined by \mathcal{D}_d .

Notation 2 Henceforth, we may as an abuse of notation refer to a metalevel argument α by a shorthand reference to the claim that α makes:

- If $\mathcal{C}(\alpha) = \text{justified}(\lceil x \rceil)$ we write $(j - x)$ to refer to α .
- If $\mathcal{C}(\alpha) = \text{rejected}(\lceil x \rceil)$ we write $(r - x)$ to refer to α .
- If $\mathcal{C}(\alpha) = \text{defeat}(\lceil y \rceil, \lceil x \rceil)$ we write $(y \text{ def } x)$ to refer to α .

Given a Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$, one can evaluate the justified arguments of Δ by evaluating the justified arguments of the Dung *MAF* Δ_M .

Theorem 2 Let $\Delta_M = (\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_d)$ be the *MAF* of a Dung framework $(\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_{\mathcal{M}}$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Note the extra expressivity that results from the metalevel formulation of a Dung framework. Given an extension E of Δ , the corresponding extension of Δ_M identifies the arguments labelled IN and OUT by a corresponding labelling for E .

Proposition 2 Let $\Delta_M = (\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_d)$ be the *MAF* of a Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$. For $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$: There exists an s labelling \mathcal{L} of Δ iff there exists an s extension E of Δ_M such that:

1. $x \in \text{in}(\mathcal{L})$ iff $(j - x) \in E$
2. $y \in \text{out}(\mathcal{L})$ iff $(r - y) \in E$

To illustrate, consider Figure 4's object level Dung framework Δ , and its metalevel formulation Δ_M . Observe that $\{d, c, b\}$ is the grounded, preferred and stable extension of Δ , corresponding to $\{(d \text{ def } e), (j - d), (r - e), (c \text{ def } e), (j - c), (r - a), (b \text{ def } a), (j - b)\}$ being the grounded, preferred and stable extension of Δ_M .

⁵It is standard practice to use sense quotes $\lceil \rceil$ (also called Frege or Gödel quotes) to abbreviate metalevel representations of object level formulae.

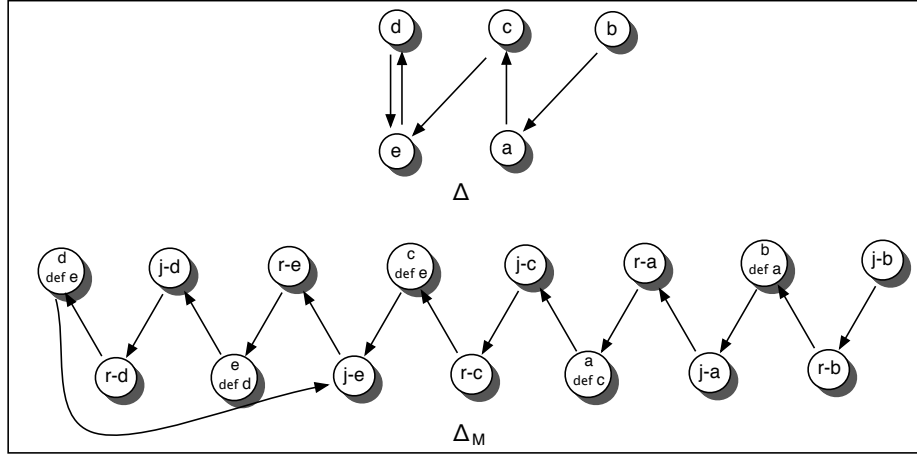


Figure 4: A Dung argumentation framework and its metalevel formulation

Metalevel argumentation allows us to formalise the various developments of Dung’s argumentation theory described in Section 2, within Dung frameworks themselves, so that one can then apply the considerable body of results and techniques for Dung frameworks, to these extensions. In the following section we describe the metalevel formulation of [44]’s generalisation of Dung frameworks to include collective attacks.

3.3 Formalising Collective Attacks in Meta-level Argumentation Frameworks

As one would expect, the existence of a collective attack BRx constitutes an argument α claiming ‘ B defeats x ’, and for each $y \in B$, an argument claiming ‘ y is rejected’ attacks α .

Definition 20 [Metalevel Dung Argumentation with Collective Attacks] A DungC MAF is a tuple $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{dc})$, where $\mathcal{D}_{dc} =$

- $\{D1 : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Y, X) \text{ and } \mathcal{C}(\beta) = \text{justified}(X) \text{ then } (\alpha, \beta) \in \mathcal{R}_M$
- $D2 : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Y, X), \mathcal{C}(\beta) = \text{rejected}(Z), \text{ and } Z \in Y, \text{ then } (\beta, \alpha) \in \mathcal{R}_M$
- $D3 : \text{if } \mathcal{C}(\alpha) = \text{justified}(X) \text{ and } \mathcal{C}(\beta) = \text{rejected}(X) \text{ then } (\alpha, \beta) \in \mathcal{R}_M\}$

Definition 21 [Mapping DungC Frameworks to Metalevel Frameworks] A DungC MAF $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{dc})$ is said to be a metalevel formulation of the DungC framework $\Delta = (\mathcal{A}, \mathcal{R})$ iff:

- $\lceil x \rceil$ is a constant in \mathcal{L}_{dc} iff $x \in \mathcal{A}$
- \mathcal{A}_M is the union of the disjoint sets $\mathcal{A}_{M1}, \mathcal{A}_{M2}, \mathcal{A}_{M3}$, where:
 1. $\alpha \in \mathcal{A}_{M1}, \mathcal{C}_M(\alpha) = \text{justified}(\lceil x \rceil)$ iff $x \in \mathcal{A}$
 2. $\beta \in \mathcal{A}_{M2}, \mathcal{C}_M(\beta) = \text{rejected}(\lceil x \rceil)$ iff $x \in \mathcal{A}$

3. $\gamma \in \mathcal{A}_{\mathcal{M}3}, \mathcal{C}_{\mathcal{M}}(\gamma) = \text{defeat}(\{\lceil y_1 \rceil, \dots, \lceil y_n \rceil\}, \lceil x \rceil)$ iff $(\{y_1, \dots, y_n\}, \{x\}) \in \mathcal{R}$

- $\mathcal{R}_{\mathcal{M}}$ is defined by \mathcal{D}_{dc} .

Henceforth, we employ a similar abuse of notation as in Notation 2, writing $(j-x)$ and $(r-x)$ to refer to arguments α and β that respectively claim $\text{justified}(\lceil x \rceil)$ and $\text{rejected}(\lceil x \rceil)$, and $(\{y_1, \dots, y_n\} \text{ def } x)$ to refer to an argument claiming $\text{defeat}(\{\lceil y_1 \rceil, \dots, \lceil y_n \rceil\}, \lceil x \rceil)$.

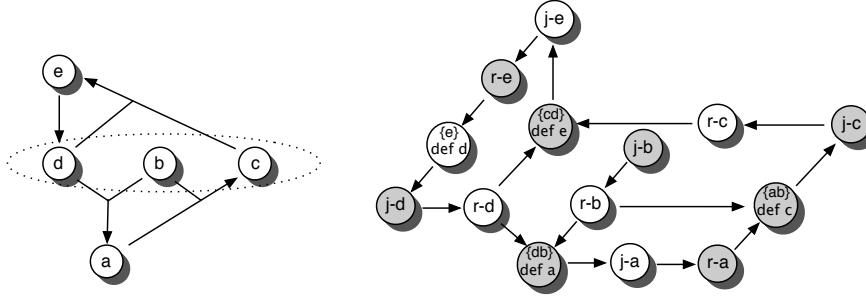


Figure 5: A DungC framework with collective attacks, and its metalevel formulation

Consider Figure 5's object level DungC framework with the following attacks:

$$\{a, b\} \rightarrow c, \{d, b\} \rightarrow a, \{e\} \rightarrow d, \{d, c\} \rightarrow e,$$

One can easily verify that $E1 = \{d, b, c\}$ (the encircled arguments) and $E2 = \{a, b, e\}$ are the preferred and stable extensions, and $\{b\}$ the grounded extension. Figure 5 shows the object level framework's *MAF*, and the arguments (shaded) in the preferred and stable extension $E1'$ corresponding to $E1$. In general, the following correspondence holds:

Theorem 3 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{dc})$ be the *MAF* of a DungC framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j-x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

3.4 Formalising Preference Based Argumentation in Meta-level Argumentation Frameworks

As discussed in Sections 2.3, 2.4 and 2.5, *PAFs*, *VAFs* and *EAFs* provide *additional* information which enable, when evaluating the framework, to say that an attack fails to succeed as a defeat, even though the attacking argument is justified. In terms of metalevel argumentation, this additional information is the source of additional *arguments* which can be used to attack arguments of the form $(x \text{ def } y)$. In a *PAF*, this extra information is simply a preference ordering on the arguments in the framework.

Given an object level *PAF*, the existence of a strict preference $x \gg_p y$ constitutes a metalevel argument claiming that ' x is strictly preferred to y '. In addition to MR2

in Section 3.1, we thus have the additional following metalevel characterisation of the object level reasoning that determines the justified arguments of a *PAF*:

MR2' : y does not defeat x (i.e., y 's attack on x fails) if x is strictly preferred to y . Hence, in the metalevel, α claiming ' y defeats x ' is attacked by an argument ρ claiming that ' x is strictly preferred to y '. Thus ρ reinstates ξ claiming ' x is justified'.

Definition 22 [Metalevel Preference based Argumentation] A *P-MAF* is a tuple $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_p)$, where $\mathcal{D}_p = \mathcal{D}_d \cup \{ \text{D4} : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Y, X) \text{ and } \mathcal{C}(\beta) = \text{s_preferred}(X, Y) \text{ then } (\beta, \alpha) \in \mathcal{R}_M \}$

Definition 23 [Mapping PAFs to Metalevel Frameworks] A *P-MAF* $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_p)$ is said to be a metalevel formulation of the *PAF* $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{P})$ iff:

- $[x]$ is a constant in \mathcal{L}_M iff $x \in \mathcal{A}$
- \mathcal{A}_M is the union of the disjoint sets $\mathcal{A}_{M1}, \mathcal{A}_{M2}, \mathcal{A}_{M3}, \mathcal{A}_{M4}$, where $\mathcal{A}_{M1}, \mathcal{A}_{M2}$ and \mathcal{A}_{M3} are defined as in Definition 19, and:
 4. $\delta \in \mathcal{A}_{M4}, \mathcal{C}(\delta) = \text{s_preferred}([x], [y])$ iff $x \gg_{\mathcal{P}} y$
(from hereon, we may write (xPy) to refer to an argument of the form δ).
- \mathcal{R}_M is defined by \mathcal{D}_p .

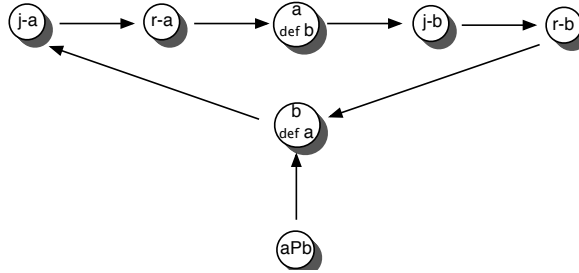


Figure 6: A PAF formulated as a metalevel framework

The metalevel formulation of the weather example *PAF* ($\mathcal{A} = \{a, b\}, \mathcal{R} = \{(a, b), (b, a)\}, \mathcal{P} = \{(a, b)\}$), is shown in Figure 6. $E' = \{(j - a), (a \text{ def } b), (r - b), (aPb)\}$ is the single grounded/preferred/stable extension of the metalevel framework, corresponding to the single grounded/preferred/stable extension $\{a\}$ of the object level *PAF*.

Theorem 4 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_p)$ be the *P-MAF* of a *PAF* $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{P})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Note that our weather forecast example illustrates *resolution* of an argumentation framework obtained by replacing symmetric attacks with asymmetric attacks. Properties relating frameworks and their resolutions have been studied in [9] and [35]. Our

metalevel formulation of *PAFs* provides a general setting for further formal study of *resolution semantics* [9], whereby the reasoning by which an object-level framework's resolutions are obtained is now modelled in terms of argumentation within its metalevel formulation.

Secondly, note that since there is a single preference relation, arguments of the form aPb will not attack one another, nor be attacked by any other argument. If the preference relation supplies a total order, the corresponding *P-MAF* will contain no cycles and so have a non-empty grounded extension, and a unique non empty preferred and stable extension. If, however, the preference order is a partial order, the preferred and grounded extensions may not coincide: there may be multiple preferred extensions, corresponding to choices between mutually attacking arguments between which no preference is expressed in the preference relation. Provided, however, that there is a preference between at least one pair of arguments in every cycle, the grounded and preferred extensions will be non-empty.

3.5 Formalising Value Based Argumentation in Meta-level Argumentation Frameworks

In *VAFs* we have rather more additional information: a set of values, a function mapping arguments to values, and a set of audiences representing totally ordered preference relations on values. The metalevel characterisation of the object level reasoning applied to determine the justified arguments of an audience specific *VAF* (*aVAF*), augments MR2 in Section 3.1 as follows:

- MR2.1 y does not defeat x (i.e., y 's attack on x fails) if x 's value is preferred to y 's value. Hence, in the metalevel, α claiming ' y defeats x ' is attacked by a *value preference* argument ν claiming that ' x 's value is preferred to y 's value'. Thus ν reinstates ξ claiming ' x is justified'.
- MR2.2 The preference of x 's value over y 's value is challenged by the contrary preference. Hence, in the metalevel each ν is symmetrically attacked by the metalevel argument ν' claiming that ' y 's value is preferred to x 's value'.
- MR2.3 The *aVAF*'s choice of audience (total ordering on values) endorses the pairwise value preferences specified by the total ordering. Thus, an audience constitutes a metalevel argument that claims a total ordering, and that attacks any value preference arguments that contradict the endorsed value preferences. Hence, if $val(x) >_a val(y)$, then a constitutes an *audience argument* that attacks ν' and thus reinstates ν .

We can also represent all audiences in a *VAF*, where given $P = \{a_1, \dots, a_n\}$, then each a_i constitutes an *audience argument* that symmetrically attacks every other audience argument, since by definition, for all i, j such that $i \neq j$, a_i and a_j contradict each other on at least one value preference.

Definition 24 [Metalevel Value based Argumentation] A *V-MAF* is a tuple $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$, where $\mathcal{D}_v = \mathcal{D}_d \cup$

{D4 : if $\mathcal{C}(\alpha) = defeat(Y, X)$ and $\mathcal{C}(\beta) = val_pref(val(X, V), val(Y, V'))$ then $(\beta, \alpha) \in \mathcal{R}_M$

D5 : if $\mathcal{C}(\alpha) = \text{val_pref}(\text{val}(Y, V'), \text{val}(X, V))$ and $\mathcal{C}(\beta) = \text{val_pref}(\text{val}(X, V), \text{val}(Y, V'))$ then $(\beta, \alpha) \in \mathcal{R}_{\mathcal{M}}$

D6 : if $\mathcal{C}(\alpha) = \text{audience}(Z)$ and $\mathcal{C}(\beta) = \text{val_pref}(\text{val}(Y, V'), \text{val}(X, V))$, where Z is a set of pairs of constants such that $(V, V') \in Z$, then $(\alpha, \beta) \in \mathcal{R}_{\mathcal{M}}$

D7 : if $\mathcal{C}(\beta) = \text{audience}(Z)$ and $\mathcal{C}(\alpha) = \text{audience}(Z')$, where Z and Z' are sets of pairs of constants such that $(V, V') \in Z$, $(V', V) \in Z'$ then $(\beta, \alpha) \in \mathcal{R}_{\mathcal{M}}$

Definition 25 [Mapping VAFs to Metalevel Frameworks] A V -MAF $\Delta_M = (\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_v)$ is said to be a metalevel formulation of the VAF $\Delta = (\mathcal{A}, \mathcal{R}, V, \text{val}, P)$ iff:

- $\lceil x \rceil$ is a constant in $\mathcal{L}_{\mathcal{M}}$ iff $x \in \mathcal{A}$ or $x \in V$
- $\mathcal{A}_{\mathcal{M}}$ is the union of the disjoint sets $\mathcal{A}_{\mathcal{M}1}, \mathcal{A}_{\mathcal{M}2}, \mathcal{A}_{\mathcal{M}3}, \mathcal{A}_{\mathcal{M}4}, \mathcal{A}_{\mathcal{M}5}$ where $\mathcal{A}_{\mathcal{M}1} \dots \mathcal{A}_{\mathcal{M}3}$ are defined as in Definition 19, and:
 4. $\nu \in \mathcal{A}_{\mathcal{M}4}, \mathcal{C}(\nu) = \text{val_pref}(\text{val}(\lceil x \rceil, \lceil v \rceil), \text{val}(\lceil y \rceil, \lceil v' \rceil))$ iff $\text{val}(x) = v$, $\text{val}(y) = v'$, and $v \neq v'$ (henceforth we may write $(x_v P y_{v'})$ to refer to an argument of the form ν)
 5. $\epsilon \in \mathcal{A}_{\mathcal{M}5}, \mathcal{C}(\epsilon) = \{(v_1, v_2) \dots (v_m, v_n)\}$ iff $\mathbf{a} \in P, \mathbf{a} = v_1 >_{\mathbf{a}} v_2 \dots v_m >_{\mathbf{a}} v_n$ (henceforth we may write $(>_{\mathbf{a}})$ to refer to an argument of the form ϵ)
- $\mathcal{R}_{\mathcal{M}}$ is defined by \mathcal{D}_v .

The V -MAF of an $aVAF$ $(\mathcal{A}, \mathcal{R}, V, \text{val}, \mathbf{a})$ is defined as above, where $P = \{\mathbf{a}\}$.

Example 1 Figure 7a) shows the V -MAF formulation of the VAF:

$$\begin{aligned} \mathcal{A} &= \{a, b\} \\ \mathcal{R} &= \{(a, b)\} \\ V &= \{v1, v2\} \\ \text{val}(a) &= v1, \text{val}(b) = v2 \\ P &= \{\mathbf{a}_1 = \{(v1, v2)\}, \mathbf{a}_2 = \{(v2, v1)\}\} \end{aligned}$$

We have two preferred extensions of the V -MAF formulation, one for each audience:

$$E1 = \{(>_{\mathbf{a}_1}), (a_{v1} P b_{v2}), (j - a), (a \text{ def } b), (r - b)\}$$

$$E2 = \{(>_{\mathbf{a}_2}), (b_{v2} P a_{v1}), (j - a), (j - b)\}$$

$E2$ is then the single preferred extension of the V -MAF formulation of the $aVAF$ for audience \mathbf{a}_2 shown in Figure 7b).

Theorem 5 Let $\Delta_M = (\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_v)$ be the V -MAF of an $aVAF$ $\Delta = (\mathcal{A}, \mathcal{R}, V, \text{val}, \mathbf{a})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_{\mathcal{M}}$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

In [12], the arguments that appear in every preferred extension for every audience in a VAF are referred to as *objectively* acceptable. The arguments that appear in at

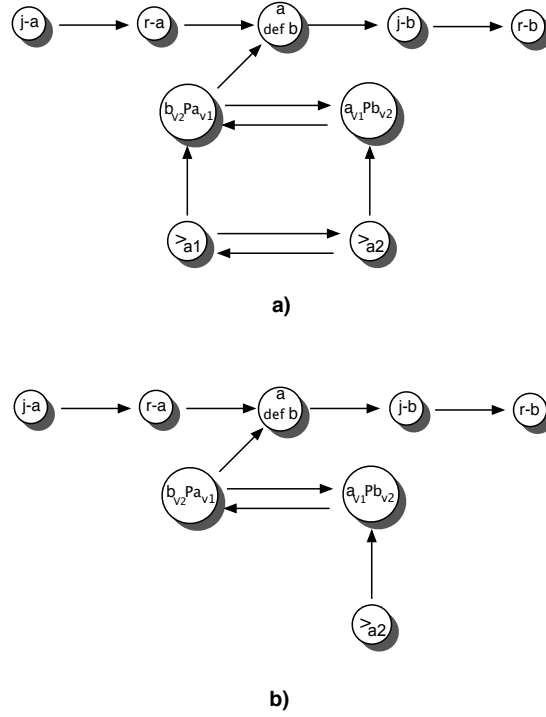


Figure 7: A VAF (a) and aVAF (b) formulated as metalevel frameworks

least one preferred extension for at least one audience in a *VAF* are referred to as *subjectively acceptable*. These notions correspond to the sceptically, respectively credulously justified arguments (under the preferred semantics) of the *VAF*'s metalevel formulation.

Definition 26 [Objective and Subjective Acceptance]

Given a *VAF* $\Delta = (\mathcal{A}, \mathcal{R}, V, val, P)$, and an argument $x \in \mathcal{A}$:

- x is objectively acceptable iff $\forall a \in P$, x is a sceptically justified argument of the *aVAF* $(\mathcal{A}, \mathcal{R}, V, val, a)$ under the preferred semantics.
- x is subjectively acceptable iff $\exists a \in P$, x is a credulously justified argument of the *aVAF* $(\mathcal{A}, \mathcal{R}, V, val, a)$ under the preferred semantics.

Theorem 6 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ be the *V-MAF* of a *VAF* $\Delta = (\mathcal{A}, \mathcal{R}, V, val, P)$. Then for any $x \in \mathcal{A}$, $(j-x) \in \mathcal{A}_M$:

1. x is an objectively acceptable argument of Δ iff $(j-x)$ is a sceptically justified argument of Δ_M under the preferred semantics.
2. x is a subjectively acceptable argument of Δ iff $(j-x)$ is a credulously justified argument of Δ_M under the preferred semantics.

3.6 Formalising Extended Argumentation in Meta-level Argumentation Frameworks

In both preference and value based argumentation, information assumed to be exogenous to the domain of argumentation based reasoning is used to undermine the success of attack as defeats. In *EAFs*, such information is part of the object level domain of argumentation, and in keeping with the abstract nature of Dung's approach no commitments are made to the nature of this information. Rather, the use of the information to undermine the success of attacks is abstractly characterised; by defining a new attack relation that originates from an argument, and that attacks an attack.

Intuitively, the metalevel characterisation of the object level reasoning in an *EAF*, extends that in a Dung *AF* so that arguments of the form $(j - x)$ and arguments of the form $(q \text{ def } r)$ are attacked respectively by arguments of the form $(y \text{ def } x)$ and $(p \text{ def } (q \text{ def } r))$. Just as $(y \text{ def } x)$ is attacked by $(r - y)$ and $(r - y)$ is attacked by $(j - y)$, so $(p \text{ def } (q \text{ def } r))$ is attacked by $(r - p)$ and $(r - p)$ is attacked by $(j - p)$.

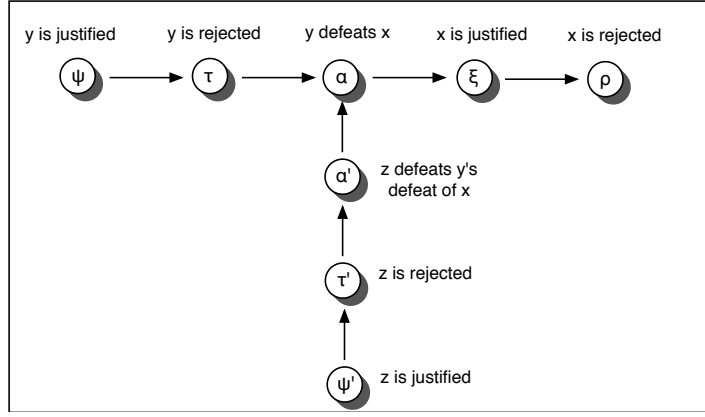


Figure 8: Meta-level formulation of an attack on an attack

Hence, in addition to MR1, MR2 and MR3 in Section 3.1:

MR1' The existence of an attack $(z, (y, x))$, constitutes a metalevel argument α' claiming ' z successfully attacks and so defeats y 's defeat of x '; hence α' attacks α (see Figure 8). Any metalevel attack on α' , challenging z 's defeat of y 's defeat of x , reinstates α , and characterises the object level reinstatement of y 's attack on x .

MR2' If z is rejected, then z does not defeat y 's defeat of x . Hence, α' is attacked by τ' claiming that ' z is rejected'. Thus τ' reinstates α .

MR3' If z is justified, then z defeats y 's defeat of x . Thus, ψ' claiming that ' z is justified' attacks τ' claiming ' z is rejected', so reinstating α' .

Definition 27 [Extended Argumentation as a Meta-level Argumentation Framework] An *E-MAF* is a tuple $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$, where:
 $\mathcal{D}_e = \mathcal{D}_d \cup \{ D4 : \text{if } \mathcal{C}(\alpha) = \text{defeat}(Z, (\text{defeat}(Y, X)) \text{ and } \mathcal{C}(\beta) = \text{defeat}(Y, X) \text{ then } (\alpha, \beta) \in \mathcal{R}_M \}$.

Definition 28 [Mapping EAFs to Metalevel Frameworks] An *E-MAF* $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$ is said to be a metalevel formulation of the *EAF* $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$ iff:

- $[x]$ is a constant in \mathcal{L}_M iff $x \in \mathcal{A}$
- \mathcal{A}_M is the union of the disjoint sets $\mathcal{A}_{M1}, \mathcal{A}_{M2}, \mathcal{A}_{M3}, \mathcal{A}_{M4}$, where $\mathcal{A}_{M1}, \mathcal{A}_{M2}$ and \mathcal{A}_{M3} are defined as in Definition 19, and:
 4. $\delta \in \mathcal{A}_{M4}, \mathcal{C}(\gamma) = \text{defeat}([z], (\text{defeat}([y], [x])))$ iff $(z, (y, x)) \in \mathcal{D}$
(from hereon, we write $(zD(yDx))$ to refer to an argument of the form δ).
- \mathcal{R}_M is defined by \mathcal{D}_e .

Figure 9 shows the metalevel formulation of the weather example *EAF* in Figure 2b).

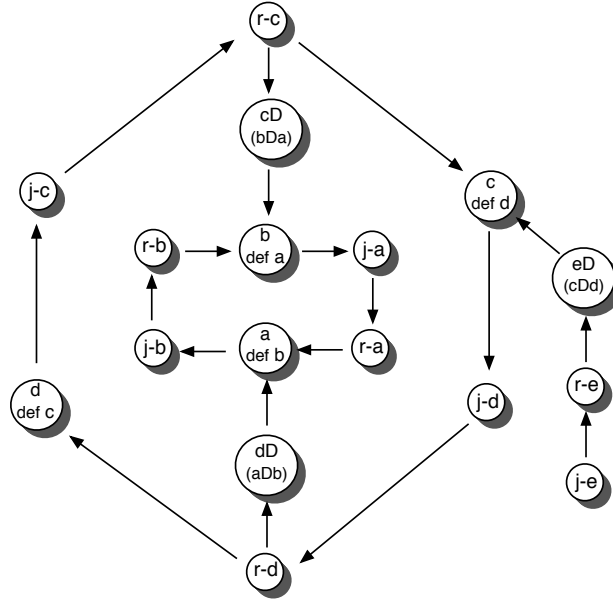


Figure 9: Meta-level formulation of the *EAF* in Figure 2b)

In general, a correspondence does not hold between the justified arguments of *EAFs* and their metalevel formulations. Consider the *EAF* Δ in Figure 10, in which a attacks b , and b itself attacks the attack from a ⁶. Δ 's single preferred extension is $E = \{a, b\}$, since E is conflict free according to Definition 13, a is obviously acceptable w.r.t. E , and b is acceptable w.r.t. E since no argument *defeats* _{E} b . However, there exist two preferred extensions of Δ 's metalevel formulation Δ_M :

$$\{(j - a), (a \text{ def } b), (r - b)\} \text{ and } \{(j - a), (j - b), (bD(aDb))\}$$

Hence, a and b are sceptically justified arguments of Δ , whereas only $(j - a)$ is a sceptically justified argument of Δ_M .

⁶The example demonstrates the kind of self-reference exhibited by the *liar paradox* ("this sentence is false") in that b is interpreted as asserting a conclusion about itself, viz. that " b is preferred to a "

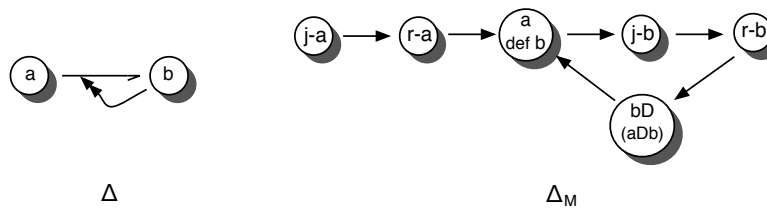


Figure 10: A non-hierarchical *EAF* and its metalevel formulation Δ_M

The key thing to note in this example is that *EAFs* do not impose restrictions on the interactions between arguments. Hence, information applied to undermine the success of attacks as defeats between object level arguments, can itself be part of the object level domain of argumentation (in contrast with *PAFs* and *VAFs*, in which such information is meta to the object level arguments). With reference to the above example, we now discuss how this ‘mixing’ of the meta and object level in *EAFs* reveals a distinction between the ontological status ascribed to \mathcal{R} attacks in *EAFs* and their metalevel formulation as arguments, and thus results in the correspondence not holding.

If one were to ascribe to attacks the same status as arguments, then one might justifiably consider $\{a, b\}$ and $\{a\}$ as distinct preferred extensions of the *EAF* Δ , where the latter preferred extension implicitly contains the attack $a \rightarrow b$. However, *EAFs* treat \mathcal{R} attacks as second class citizens, and with some justification given that the existence of an attack is contingent on the existence of arguments but not vice versa (i.e., one can consider the existence of arguments independently of attacks, but not vice versa). In this view it is legitimate to identify $\{a, b\}$ as the set inclusion maximal admissible set of *arguments*.

In our metalevel formulation, one does not discriminate between metalevel arguments constituted by the existence of \mathcal{R} attacks and arguments in \mathcal{A} ; these attacks and arguments are effectively assumed to be on a par. This in turn means that unlike attacks at the object level, attacks formalised as metalevel arguments can indirectly reinstate themselves. This is illustrated in Δ_M in Figure 10 in which $(a \text{ def } b)$ is part of a 4 cycle, so that $(a \text{ def } b)$ reinstates $(r - b)$, which in turn reinstates $(a \text{ def } b)$ (hence we say $(a \text{ def } b)$ *indirectly* reinstates itself) in the preferred extension $\{(j - a), (a \text{ def } b), (r - b)\}$. Now notice that this implication of the ontologically distinct treatment of attacks at the object and metalevel would not manifest itself if we focussed on *EAFs* that maintain a strict separation between object level arguments and the arguments that attack attacks between object level arguments. If such a separation were imposed, then an argument of the form $(a \text{ def } b)$ would not be able to indirectly reinstate itself, and we would thus expect a correspondence to hold.

Indeed, [38] study a special class of *hierarchical EAF* in which the argumentation is ‘stratified’ into levels so that, intuitively, each level is a Dung framework $(\mathcal{A}, \mathcal{R})$ in which all \mathcal{R} attacks are restricted to arguments within the framework. These \mathcal{R} attacks are then attacked by \mathcal{D} attacks that exclusively originate from arguments in the immediate metalevel⁷. It is interesting to note that the characteristic functions of hierarchical *EAFs* are monotonic, so enabling characterisation of their grounded extensions as the least fixed point of their characteristic functions.

⁷Although intuitively this could be generalised to *any*, rather than just the *immediate* metalevel.

Definition 29 [Hierarchical EAFs] $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$ is a *hierarchical EAF* iff there exists a partition $\Delta_H = ((\mathcal{A}_1, \mathcal{R}_1), \mathcal{D}_1), \dots, ((\mathcal{A}_j, \mathcal{R}_j), \mathcal{D}_j), \dots$ such that:

- $\mathcal{A} = \bigcup_{i=1}^{\infty} \mathcal{A}_i$, $\mathcal{R} = \bigcup_{i=1}^{\infty} \mathcal{R}_i$, $\mathcal{D} = \bigcup_{i=1}^{\infty} \mathcal{D}_i$, and for $i = 1 \dots \infty$, $(\mathcal{A}_i, \mathcal{R}_i)$ is a Dung argumentation framework.
- $(C, (A, B)) \in \mathcal{D}_i$ implies $(A, B) \in \mathcal{R}_i$, $C \in \mathcal{A}_{i+1}$

Δ is a *bounded hierarchical EAF* iff its partition Δ_H is of the form $((\mathcal{A}_1, \mathcal{R}_1), \mathcal{D}_1), \dots, ((\mathcal{A}_n, \mathcal{R}_n), \mathcal{D}_n)$, where $\mathcal{D}_n = \emptyset$

A correspondence can then be shown between bounded hierarchical *EAFs* and their metalevel formulations:

Theorem 7 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$ be the *E-MAF* of a bounded hierarchical *EAF* $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

The *EAF* Δ in Figure 8 is not hierarchical. However the weather example *EAF* in Figure 2b) is bounded hierarchical. Its single preferred extension $\{e, d, b\}$ corresponds to the single preferred extension

$$\{(j - e), (eD(cDd)), (j - d), (d \text{ def } c), (r - c), (dD(aDb)), (j - b), (b \text{ def } a), (r - a)\}$$

of its metalevel formulation in Figure 10.

Although [38] discusses and illustrates requirements for *EAFs* that do not conform to the hierarchical restriction, we observe that many applications of extended argumentation can be naturally accommodated under the hierarchical restriction; in particular application of extended argumentation to agent reasoning over beliefs, goals and actions [43, 37]. Also, reinterpreting the arguments based on preferences in *PAFs* and on value preferences in *VAFs* produces hierarchical frameworks. Note that in Section 6 we comment further on the lack of correspondence between non-hierarchical *EAFs* and their metalevel formulations.

4 Applications of Metalevel Argumentation

4.1 Applying Results and Techniques for Dung Argumentation to Developments of Dung Argumentation

In the previous section we described metalevel formulations of various object level developments of Dung's abstract argumentation theory, and showed that the justified arguments of the object level frameworks can be characterised in terms of the justified arguments of their metalevel formulations. These correspondences allow one to transition the full range of theoretical and practical results and techniques defined for Dung argumentation, to these various developments. For example, consider the use of labellings for characterising the extensions of a Dung framework, reviewed in Section 2.1.2. Algorithms for computing labellings, and therefore extensions, have also been proposed [23, 42, 51, 52]. Given the correspondences between object level and

metallevel extensions, one can now make use of the labelling approach and algorithms at the metalevel in order to characterise and compute the object level extensions. For example, suppose Δ is an object level hierarchical *EAF*, and let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$ be its *E-MAF* as defined in Definition 28. We can then apply labelling algorithms to compute the labellings and so extensions of the Dung framework $(\mathcal{A}_M, \mathcal{R}_M)$ under all of Dung’s semantics. Given Theorem 7, we thus obtain the extensions of Δ ⁸.

Argument game proof theories for Dung frameworks have also been defined for establishing the justified status of a given argument x under each of Dung’s semantics [24, 28, 42, 53]. Given the correspondences shown in the previous section, one can make use of these proof theories to establish the justified status of arguments of the form $(j - x)$ in order to establish the status of x in the corresponding object level framework. In what follows, we describe games applied to our metalevel formulation of value based argumentation [12], and contrast the use of such games with the more complex games specifically developed for value based argumentation [11, 13].

4.1.1 Applying Argument Game Proof Theories to Metalevel Argumentation Frameworks

Argument games take the form of dialogues between a proponent and an opponent of a given argument. The proponent starts with an argument to be tested, after which each player must attack the other player’s arguments with a counterargument of sufficient strength. The initial argument is provable if the proponent has a winning strategy, i.e., if he can make the opponent run out of moves however the opponent chooses to attack. Essentially the dialogue constructs an admissible set containing the desired argument. The precise rules of the argument game depend on the semantics which the proof theory is meant to capture. Games for demonstrating the justified status under credulous preferred and sceptical preferred semantics, for *coherent* frameworks⁹, are given in [53]. These games, which are Two Player Immediate Response (TPI) games, in which a player must address the argument last played, were refined and their properties explored in [28]. In the latter work three moves are used: COUNTER, BACKUP and RETRACT.

COUNTER can be made by either player, and involves playing an argument which attacks the last argument played. BACKUP is only employed by opponent, and RETRACT only by proponent. These two moves are different for the different players, and arise from the need to allow back-tracking, when there is no argument available to attack the argument last played. Firstly, BACKUP may be seen as opponent invoking a *new line of attack* within the *same* dispute tree. On the other hand, RETRACT represents the dispute being started again, this time, however, with the knowledge that some lines of defence are not available, i.e., those that would result in a known inadmissible set being constructed. In fact, as was shown in [28], if the argument is credulously preferred then a proponent employing ‘best play’ will never need to make a retraction: RETRACT is needed only to allow for strategic mistakes. Making moves can affect the arguments available for subsequent use. If an argument is played which attacks arguments as yet unplayed, the attacked arguments cease to be available for the proponent (in a sceptical

⁸Note that labellings have recently been defined directly on arbitrary *EAF*s for only the admissible, preferred and stable semantics [39]. In this work algorithms for computing these extensions are not defined.

⁹Every preferred extension of a *coherent* framework is also stable, and since in general stable extensions are preferred, the stable and preferred extensions of a coherent framework coincide.

game) or the opponent (in a credulous game). Similarly arguments attacking an argument played are not available to the player who played the latter attacked argument.

These games have been shown to be sound and complete¹⁰ and work very well for Dung frameworks, and attempts have been made to extend the games to accommodate preferences. For example, a game for value based frameworks was proposed in [11]. In that game an additional VALUE move is made available to both players. The VALUE move is used when there is no argument available to allow a COUNTER move, and allows the player to defend an argument by claiming an audience advocating that its value is preferred to its attacker. A record of such moves must be kept so as to block a VALUE move expressing an audience whose value preference contradicts a previous VALUE move’s audience advocated preference. Although this game is effective for some frameworks, it is more complicated than the original TPI, in that it has this additional move, and it requires maintenance of additional structures to record the currently expressed value preferences. Additionally certain types of framework present problems.

We can illustrate these problems by reference to the VAF in Figure 11a), in which x_5 is objectively acceptable (i.e. sceptically justified under the preferred semantics irrespective of the relative ordering of the values *property* and *life*). If proponent starts a game with x_5 , which is then attacked by opponent’s x_6 , proponent cannot then COUNTER with x_7 , since x_7 is attacked by an argument already moved by proponent, i.e., x_5 itself. Hence proponent uses the VALUE move to claim $life > property$. While this will succeed in establishing the acceptability of x_5 , it should not have been necessary to express this audience since x_5 is acceptable irrespective of the audience.

Consider, however, that we can apply the standard TPI games to our metalevel formulations of VAFs. Given Theorem 5, we can then evaluate the justified status of an argument ($j - x$) in the metalevel formulation, so evaluating the status of x in the object level VAF. For example, we can play the standard sceptical TPI game on the metalevel formulation of the VAF in Figure 11b) in which $(>_{a1})$ denotes the argument claiming $audience(\{(property, life)\})$ and $(>_{a2})$ the argument claiming $audience(\{(life, property)\})$. Note that in this sceptical game opponent has only to show that he is not compelled to accept a proponent’s proposed argument, and so opponent *can* play arguments already attacked by proponent arguments. Suppose that when opponent plays $(x_6 \text{ def } x_5)$, proponent challenges with $(x_5_L P x_6_P)$ (‘L’ denotes *life* and ‘P’ denotes *property*). The opponent can then continue along either of two lines of attack visualised in Figure 11c). In either case proponent cannot repeat $(>_{a2})$ given that it is already attacked by opponent. Hence, in either case, proponent is forced to RETRACT $(>_{a1})$ and its endorsed value preference $(x_5_L P x_6_P)$, and initiate a new line of defence, attacking $(x_6 \text{ def } x_5)$ with $(r - x_6)$. The dispute continues as visualised in Figure 11d), eventually leading to proponent playing $(j - x_7)$ (note that $(j - x_7)$ is not directly attacked by proponent’s $(j - x_5)$), opponent playing $(x_5 \text{ def } x_7)$, and then proponent $(x_7_P P x_5_L)$. Now, according to the rules of [28]’s TPI game, opponent can play neither of $(x_5_L P x_7_P)$ or $(>_{a2})$, since both arguments are attacked by the argument $(>_{a1})$ that opponent has played in whichever of the dispute lines in Figure 11c) that has led to proponent’s retraction. Proponent effectively demonstrates that the audience opponent commits to in order to *undermine* proponent’s defence, also *supports* proponent’s defence. Notice that if the proponent’s first attempt at a defence had been to play $(r - x_6)$, this would have led to either of the two opponent

¹⁰That is to say there is a winning strategy for argument x in a game played under the rules for sceptical, respectively credulous preferred semantics iff x is justified under the sceptical, respectively credulous preferred semantics.

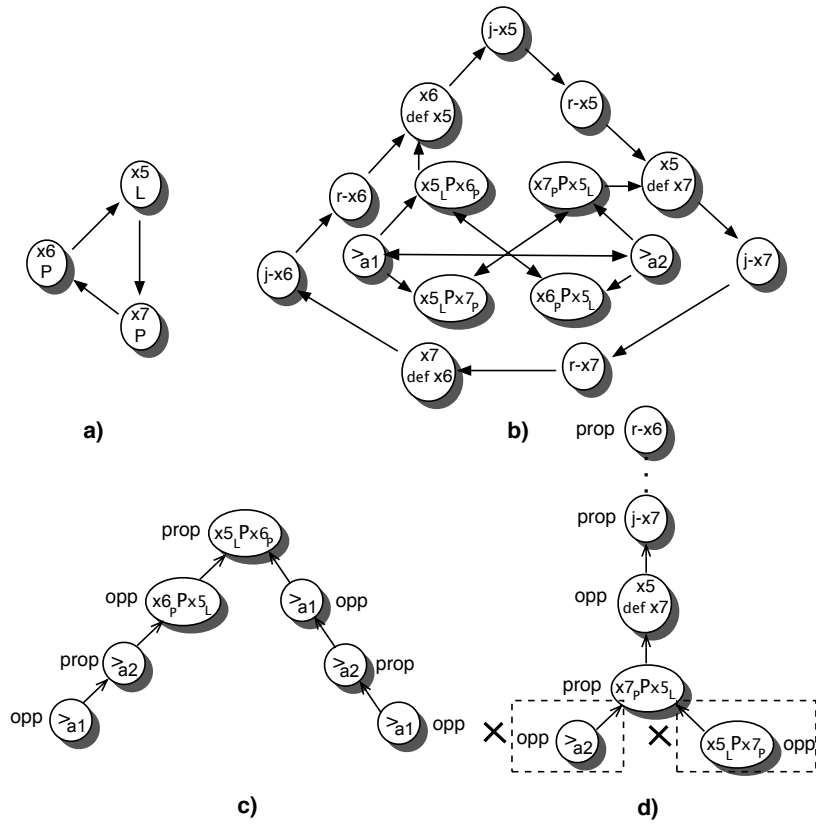


Figure 11: a) a VAF (L stands for *life* and P for *property*), and b) its metalevel formulation. c) shows two winning TPI dispute lines for opponent and d) a winning TPI dispute line for proponent in which opponent cannot play arguments attacked by the argument ($>_{a1}$ that is has played in both lines in c))

winning lines of attack in Figure 11d), in which the prohibited moves shown would have been allowed, and where the the right hand line of attack would now terminate by opponent playing ($>_{a2}$) in response to proponent's ($>_{a1}$). This would then similarly force proponent to RETRACT and then play ($x5_L Px6_P$) as shown in Figure 11c), and opponent would then be prohibited from playing either ($x6_P Px5_L$) or ($>_{a1}$). Hence, in either case proponent is able to establish the objective acceptability of ($j - x5$) (and so $x5$ in the object level framework) *without committing to any audience*.

Another desirable feature of VAFs is that rather than the audience being fixed in advance, it should emerge from the reasoning process itself. This view that value preferences are the product of reasoning rather than an input to it was expressed by Searle as follows:

This answer [that we can rank values in advance] while acceptable as far as it goes [as an *ex post* explanation], mistakenly implies that the preferences are given *prior* to practical reasoning, whereas, it seems to me, they are typically the product of practical reasoning. And since ordered preferences are typically products of practical reason, they cannot be treated as its

universal presupposition. [50]

This issue is explored in [13], in which the set up is that given a VAF , the proponent wishes to defend a position in which the proponent wishes certain arguments to be accepted, certain other arguments to be rejected, and is indifferent to the inclusion or exclusion of the remaining arguments. [13] presents a game in which an audience for which the position is acceptable is determined, or it is shown that no such audience exists. The moves of the game are, however, rather complicated, and lack the clear intuitions of the TPI game moves. In the metalevel framework, however, the value preferences and audiences appear as part of the admissible set constructed during the course of a TPI dispute, and so we can play the TPI game to identify the constraints put upon the audience.

To illustrate, consider again the $V-MAF$ of Figure 11b), and suppose proponent wishes to accept $(j - x7)$. Opponent challenges with $(x5 \text{ def } x7)$. Examining the framework, we see that it is futile for proponent to play $(r - x5)$, since this will ultimately require proponent to play $(r - x7)$, which he has already attacked. Therefore proponent must play $(x7_P P x5_L)$, and then $(>_{a1})$ in response to either of opponent's possible attacks. Since in this game proponent is only required to establish subjective acceptance (i.e., $(j - x7)$ is credulously justified), opponent *cannot* now play arguments already attacked by proponent arguments. Specifically, opponent cannot play $(>_{a2})$, and so the game terminates, with the audience established as $(>_{a1})$ ordering *property over life*.

We conclude by observing that a number of efficiency gains can be obtained when applying argument games to metalevel frameworks in general. For example, one could allow a player to play more than one argument in a single move. In particular a player could move an argument of the form $(x \text{ def } y)$, followed by an argument of the form $(j - x)$, given that the player's counterpart will always be able to play $(r - x)$ in response to $(x \text{ def } y)$, which in turn can always be countered by $(j - x)$. If these two moves were played together the counterpart would then have the choice of attacking either $(x \text{ def } y)$ or $(j - x)$. Changes of this sort would eliminate some unnecessary rounds, but not otherwise impact on the game.

We can see then that use of standard TPI games played on a meta level framework improves greatly on the games played directly on $VAFs$. Unlike the game of [11], players are not obliged to make unnecessary commitments to audiences, and although the game of [13] is sound and complete, the game played on the metalevel framework is simpler since the game in [13] requires conditions on moves to ensure that incompatible value preferences are not expressed. Here, because value preferences and audiences are arguments in the framework, these considerations are handled uniformly through the attack mechanism, so that illegitimate arguments are clearly seen as not available because attacked by an argument to which the player is already committed. Further, the required preferences and the audiences themselves appear in the admissible set constructed by the dialogue, rather than being separately recovered from the history of the dialogue as in [13]. These improvements stem from the ability to use the clean framework provided by abstract argumentation to accommodate the preference considerations that require external mechanisms to express preferences at the object level.

Finally, notice that we can also apply TPI games, or other games defined for Dung frameworks [42], to our metalevel formulations of extended argumentation. We will return to this topic in our discussion of future work in Section 6.

4.2 Extending and Integrating Abstract Argumentation in Metalevel Frameworks

In the spirit of Dung’s original theory, *MAF*s adopt a level of abstraction that makes limited commitments to the instantiating logics. Thus metalevel arguments can be built from statements about the existence of arguments, preferences, attacks etc. in different object level frameworks, which in turn may be constructed from different underlying logics. This not only allows for integration and further extension of the various developments of abstract argumentation, but also provides principled means for instantiation by, and integration of arguments constructed from different theories in different underlying logics, where one theory may encode metalevel reasoning about the arguments defined by another theory .

4.2.1 Extending Abstract Argumentation in Metalevel Frameworks

Recall that in *VAFs* audiences serve as oracles in that it is not possible to debate the merits of belonging to one audience rather than another. At the metalevel, however, the audiences are arguments within the framework, and as such are open to attack like any other argument, allowing one to advance arguments for and against particular audiences. Consider Definition 24’s metalevel formulation of value based argumentation. Given an object level *VAF* we can construct the arguments and attacks instantiating a *V-MAF*, and *additionally* include in the *V-MAF* metalevel arguments that refer to object level arguments and attacks defined by argumentation-based reasoning about what the audience should be.

One possible source of such arguments may be moral principles. In a debate on fox hunting, for example, one might find an argument along the lines of *fox hunting is enjoyed by many people* in conflict with an argument such as *fox hunting causes animal suffering*. Resolving this conflict requires choosing between the audience preferring the value of human enjoyment to the value of animal welfare, and the audience endorsing the contrary preference. Opponents of hunting could now appeal to some moral standards, claiming that it is not a legitimate choice to prefer human enjoyment to animal welfare, using an argument such as *no rational person could promote enjoyment at the expense of animal welfare*. Such an argument would attack any audience endorsing the preference for human enjoyment. In turn this argument could be subject to attack, so that the debate shifts to what preferences are legitimate.

This kind of argumentation is particularly common in the legal domain, especially when considering common law and the role of precedent cases. Often a case will turn on how the court chooses to resolve a conflict between possible purposes that the law can serve. Consider the well known property law case of *Pierson v Post* that has been the subject of much discussion since its introduction into AI and Law [25]. In this case there is a conflict between the value of encouraging a socially useful activity, and the need to have clear law to minimise disputes. While the minority opinion in *Pierson* favoured the first value, the majority preferred clear law to social utility. In subsequent cases where this choice is presented, *Pierson* can be cited as an argument against adopting a preference for social utility.

This additional expressiveness is important for representing such domains. Whereas object level frameworks, such as that produced for *Pierson* and related cases in [16] could identify the choices confronting the courts, they could capture neither the choice actually made, nor the rationale for the choice, both of which are essential for a proper representation of precedential reasoning. For a recent representation of case law which

uses metalevel frameworks to allow such argumentation, see [15].

Consider also that our definition of *E-MAFs* (Definition 27) admits (given the BNF specification of $\mathcal{L}_{\mathcal{M}}$ in Definition 17) arguments with claims of the form *defeat* ($Z_n, \text{defeat}(Z_{n-1}, \text{defeat}(Z_{n-2}, \dots))$), where each such argument attacks an argument with claim *defeat* ($Z_{n-1}, \text{defeat}(Z_{n-2}, \dots)$). That is to say we can model recursive attacks on attacks on attacks on \dots etc (see Figure 12). Indeed, such a metalevel formulation might even provide a basis for defining an object level framework extending *EAFs* to accommodate such recursive attacks, in the sense that one might verify the correctness of such an object level formalisation by showing a correspondence with the metalevel formulation. Recently, [8] have proposed just such an object level formalisation of recursive attacks, and we will discuss this work in Section 5¹¹.

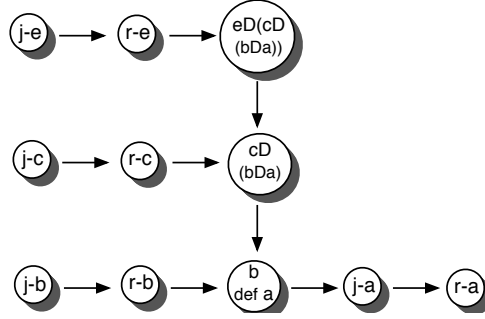


Figure 12: An *E-MAF* with metalevel formulations of recursive attacks on attacks.

4.2.2 Integrating Abstract Argumentation in Metalevel Frameworks

A number of works (e.g., [33, 36]) have described requirements for extending value based argumentation so that criteria other than the audience based ranking of values can be used to undermine the success of attacks. For example, consider that two arguments a and b symmetrically attack, where a and b promote the same value. One may then wish to arbitrate between a and b based on other criteria, such as the relative trustworthiness of the distinct advocates (or sources) of each argument, or the degree to which each argument promotes a value. We can formalise a metalevel integration of value and preference argumentation by straightforwardly combining the *P-MAFs* and *V-MAFs* of Sections 3.4 and 3.5, by adding an additional constraint specifying attacks between contrary pairwise value preferences and strict preferences given by the preference relation.

Definition 30 [Integrating Value and Preference based Argumentation in a Metalevel Argumentation Framework]

A *VP-MAF* is a tuple $(\mathcal{A}_{\mathcal{M}}, \mathcal{R}_{\mathcal{M}}, \mathcal{C}, \mathcal{L}_{\mathcal{M}}, \mathcal{D}_{vp})$, where $\mathcal{D}_{vp} = \mathcal{D}_v \cup \mathcal{D}_p \cup$

$\{D' : \text{if } \mathcal{C}(\alpha) = \text{val_pref}(\text{val}(Y, V'), \text{val}(X, V)) \text{ and } \mathcal{C}(\beta) = \text{s_preferred}(X, Y) \text{ then } (\beta, \alpha), (\alpha, \beta) \in \mathcal{R}_{\mathcal{M}}\}$.

¹¹Note that the need for recursive attacks would also need to be carefully motivated. In the case of *EAFs*, attacks on attacks readily admit interpretation in terms of applying preferences, as substantiated in [38] by provision of *EAF* semantics for [48]’s logic programming with defeasible priorities

Example 2 Consider the $aVAF$ in Figure 13 where the single audience a_1 orders value v_1 over v_2 . Consider also a separately defined preference ordering yielding the strict preferences $c \gg_P b$, $a \gg_P b$, where the latter strict preference resolves the choice between the symmetrically attacking a and b , each of which promote the same value. Notice that there are two preferred extensions of the $VP-MAF$; the one containing the shaded arguments as shown in Figure 13b), and the second containing the same arguments except that $b_{v_1}P_{c_{v_2}}$ replaces $(c \text{ def } b)$ and (cPb) . Both preferred extensions contain the sceptically justified arguments $(j - a)$ and $(j - c)$.

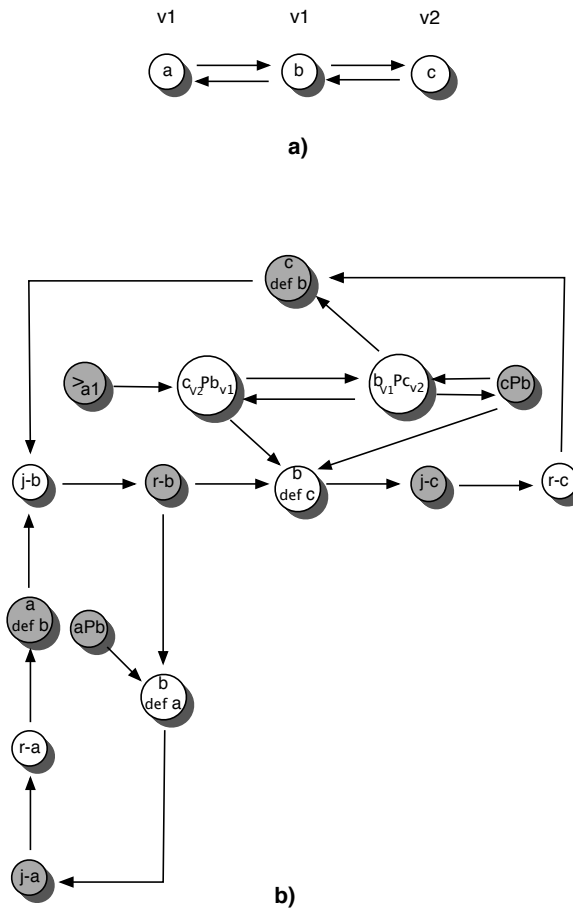


Figure 13: A VAF (a) and preference ordering formalised as a $VP-MAF$ (b) in which the arguments in one of its two extensions are shaded

In the following example we illustrate the idea of metalevel integration of preference and value based arguments by referring to object level arguments for actions, value preferences, audiences and preferences constructed from different underlying logics and theories.

Example 3 Figure 14 shows the $VP-MAF$ $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{vp})$ illustrating argumentation-based reasoning about action. The $VP-MAF$ is instantiated as fol-

lows:

- Arguments $(j - a1), (j - a2), (j - a3) \in \mathcal{A}_M$ are metalevel arguments claiming that object level arguments about action are justified, where these object level arguments are constructed in a BDI logic as described in [7]. $a1$ and $a2$ are arguments for the medical actions ‘give aspirin’ and ‘give chlopidogrel’ respectively. These arguments relate the current beliefs that warrant (are preconditions for) the actions bringing about states of affairs that realise a desired goal and so appeal to a value. $a1$ and $a2$ attack each other since they represent alternative courses of medical action for realising a given treatment goal. $a3$ states that chlopidogrel is prohibitively expensive and so asymmetrically attacks $a2$. Given $a1, a2$ and $a3$, Figure 14 shows the metalevel arguments $(j - a1), (j - a2), (j - a3), (r - a1), (r - a2), (r - a3), (a1 \text{ def } a2), (a2 \text{ def } a1)$ and $(a3 \text{ def } a2)$ related by metalevel attacks.

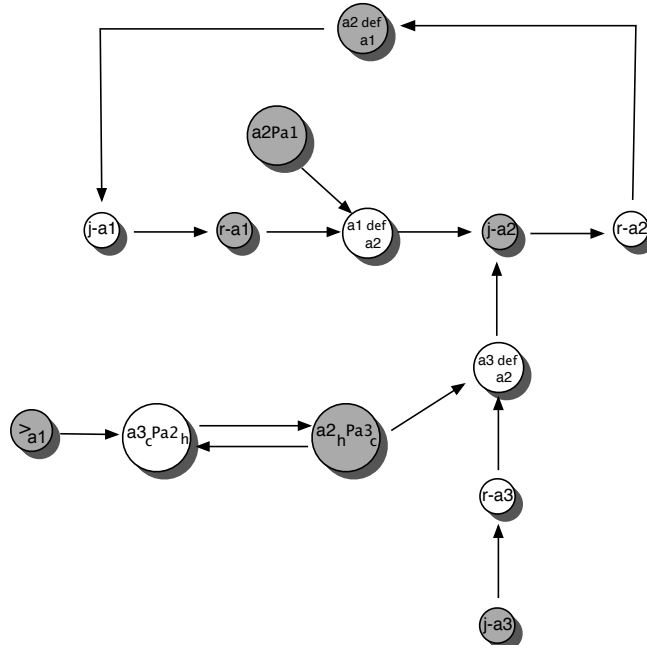


Figure 14: *VP-MAF* for reasoning about medical actions

- In [36], construction of first order arguments that refer to the valuations of object level arguments is described. Specifically, [36] describes arguments built from first order theories consisting of facts that assign values to constants naming the above object level arguments for action, and orderings on values:

$$val(a1, health), val(a2, health), val(a3, cost) \text{ and } >(a1, health, cost)$$

Based on these first order arguments we construct the metalevel value preference and audience arguments:

$$((a2_{health} Pa3_{cost})), ((a3_{cost} Pa2_{health})) \text{ and } (>_{a1}) (\mathcal{C}(>_{a1}) = \{(health, cost)\}).$$

- Finally, [36] also describes first order arguments constructed from first order theories describing clinical trial valuations of the relative efficacy of drugs. Here, the existence

of a clinical trial argument in [36] concluding that chlopidogrel is more efficacious than aspirin at preventing blood clotting, is used to construct the metalevel argument $(a2Pa1)$ (i.e., $\mathcal{C}((a2Pa1)) = s_preferred(\lceil a2 \rceil, \lceil a1 \rceil)$).

The single preferred extension of the $VP\text{-}MAF$ contains the argument $(j-a2)$ claiming that the argument for chlopidogrel is justified. It is more efficacious than aspirin, and the increased cost is discounted given that the value of health is deemed more important than cost (although in practice it is often the case endorsement of the contrary preference is *argued* for on utilitarian grounds).

5 Related Work

This paper builds on and substantially extends previous work of ours [41] in which bounded hierarchical $EA\mathcal{F}$ s are rewritten as Dung frameworks. In [41] we ‘expand’ \mathcal{R} attacks $x \rightarrow y$ in an $EA\mathcal{F}$, to obtain attacks $x \rightarrow \bar{x} \rightarrow \bar{x}\bar{y} \rightarrow y$. A \mathcal{D} attack $(z, (x, y))$ is then rewritten as an attack $z \rightarrow \bar{x}\bar{y}$ in the rewrite. We then show how one can formalise and extend value based argumentation in a hierarchical $EA\mathcal{F}$, which is then rewritten as a Dung framework. The rewrites presented in [41] have inspired recent works by other authors [8, 20]. Of particular interest is [8]’s extension of $EA\mathcal{F}$ s to accommodate recursive attacks on attacks, and their rewrite as Dung frameworks. It is instructive to examine how [8] accommodate attacks on attacks and formalise these as an object level Dung framework. Consider arguments a, b, c, d , and attacks (a, b) , (c, d) and $(b, (c, d))$ ([8] also allow attacks on attacks on attacks etc.). The notion of direct and indirect defeats from attacks to arguments and attacks is defined, so that for the given example:

(a, b) directly defeats b , (c, d) directly defeats d , $(b, (c, d))$ directly defeats (c, d) and (a, b) indirectly defeats $(b, (c, d))$

Based on these notions of defeat, notions of conflict free, acceptability and admissible and preferred extensions are defined. A Dung argumentation framework rewrite is also defined. Figure 15a) shows [8]’s rewrite for the above example, and by way of comparison the metalevel $E\text{-}MA\mathcal{F}$ formulation is also shown in Figure 15b). Intuitively, [8] effectively model attacks as arguments, in a manner similar to our metalevel formulation. Given that [8]’s motivation is to obtain a rewrite rather than formalise metalevel argumentation, the rewrite does not include arguments corresponding to metalevel arguments of the form $(r-x)$. Intuitively, however, a correspondence obtains between [8]’s rewrite and our metalevel formulation, since an argument $(r-b)$ will be acceptable iff $(a \text{ def } b)$ is acceptable, so that one can formulate an attack directly from $(a \text{ def } b)$ to $(bD(cDd))$. These observations suggest therefore that the metalevel formulation of recursive attacks described in Section 4.2.1 can be viewed as a metalevel formulation of [8]’s object level formalisation of recursive attacks.

Finally, we mention works which formalise logics that explicitly refer to arguments and their relations and properties [19, 35, 55]. In particular, [55] also advocate the view that

“rational argumentation also involves putting forward arguments about arguments, and it is in this sense that they are meta-logical. For example, a statement that serves as a justification of an argument is a statement about an argument: the argument for which the justification serves must itself be referred to in the justification.”

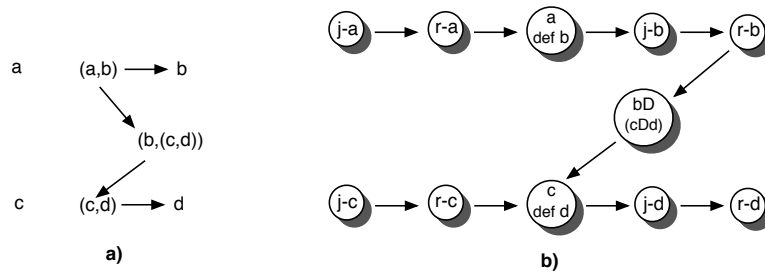


Figure 15: Comparing rewrite in [8] (a) and the metalevel formulation of an *EAF* (b)

Motivated by the claim that “*argumentation and formal dialogue is necessarily a meta-logical process*”, [55] formalise metalogics in which one can reason about what constitutes an argument in the object level, attack and defeat relations between these arguments, their properties (e.g. the values they promote), and their status in the object level.

While [55] (and [19, 35]) provide meta-logics for reasoning about arguments, and argument construction based on these meta-logical statements, they do not relate these to argumentation frameworks, and so the argumentation at the metalevel is not considered using this single powerful abstraction. Indeed, one can see how these meta-logics can be used to define arguments, attacks and metalevel claims for instantiating the metalevel argumentation frameworks described in this paper.

6 Conclusions

We conclude with a summary of this paper’s contributions and discuss directions for future work.

In this paper we defined a specific language in which arguments make claims about object level frameworks, thus identifying *Metalevel Argumentation Frameworks* in which the arguments are constructed from statements asserting the existence of object level arguments and their purported membership of admissible extensions, as well as statements asserting the existence of object level attacks, preference orderings on arguments, pairwise value preferences and audiences. The constraints on the metalevel attack relations, based on the claims about the object level frameworks, characterise the object level reasoning applied to evaluate the justified arguments, in terms of Dung’s acceptability calculus. We have shown correspondences between the object level frameworks and their metalevel formulations; correspondences that not only yield a number of practical benefits, but also support a rhetorical aim of this paper to support the view that Dung’s acceptability calculus identifies general and widely applicable principles of commonsense reasoning. We have shown how collective attacks, preference based, value based, and hierarchical extended argumentation can all be formulated as instances of Dung argumentation. The lack of correspondence with non-hierarchical *EAF*s reflects differing intuitions about the ontological status of attack in [38]. Future work will look to more precisely identify when the correspondence does hold, given that the hierarchical restriction is overly prescriptive; intuitively, a correspondence should hold for any *EAF*s whose metalevel formulations do not include attacks that directly, or indirectly, reinstate themselves. As mentioned in Section 4.2.1,

future work will also investigate metalevel formulations of recursive attacks on attacks, and in particular investigate correspondences with the recursive attacks formalised in [8]. We will also investigate metalevel formulations of Dung frameworks augmented with support relations [3, 45]. For the moment, we observe that if x supports y , then an attack on x propagates to y . At the metalevel this would be formalised in terms of a metalevel attack from $(r - x)$ to $(j - y)$, so that if the argument $(r - x)$ claiming ‘ x is rejected’ is in an admissible extension E (and is therefore reinstated by some $(z \text{ def } x) \in E$), then it cannot be that $(j - y) \in E$.

In Section 4.1 we discussed how the correspondences shown in Section 3 allow one to transition the full range of theoretical and practical results and techniques for Dung argumentation, to developments of Dung argumentation. We illustrated by showing how standard argument game proof theories for Dung frameworks can be applied to value based argumentation frameworks, greatly improving on games specifically developed for the value based frameworks. Future work will further develop argument game proof theories for metalevel frameworks. In particular, the games will be applied to metalevel formulations of hierarchical extended argumentation, and we will investigate relationships with a game based proof theory recently defined for *EA*Fs [39] for the preferred credulous semantics. As discussed in Section 4.1, a key focus will also be on improving the efficiency of games for metalevel frameworks. Future work will also address application of other developments of Dung argumentation to metalevel frameworks, including labellings and labelling algorithms for computing metalevel extensions.

Argument game proof theories for Dung frameworks have informed formalisation of argumentation-based dialogues where, for example, one agent seeks to persuade another to adopt a belief it does not already hold to be true [47], or when agents deliberate about what actions to execute [32], or negotiate over resources [4]. Another direction for future work will be to formalise similar such dialogues for metalevel frameworks, allowing, for example, agents to debate value preferences in value based deliberation over actions, and preferences in negotiation.

In Section 4.2 we described how *MA*Fs can be instantiated by arguments built from statements about object level frameworks, thus facilitating extensions to, and integrations of various forms of abstract argumentation. We described how argumentation over audiences can be incorporated in metalevel formulations of *V*A*F*s, and how recursive attacks on attacks can be formulated in *E-MA*Fs, so providing semantic guidelines for formalisation of object level Dung frameworks extended with recursive attacks. We also described how arguments for preference orderings and value preferences, built from different underlying theories encoded in different logics, can be integrated in metalevel integrations of value and preference based argumentation. These examples by no means exhaust the possible extensions and integrations, and there is much scope for future work in these areas. For example, one might look to integrate preferences with collective attacks, or model the strength of attacks [10] in terms of weights assigned to metalevel arguments of the form $(x \text{ def } y)$, where an attack’s weight may need to exceed a certain threshold (as recently described in [29]), and the failure to do so may be modelled as a metalevel attack on $(x \text{ def } y)$.

7 Appendix

The following lemmas are used for the proofs of the main results in this paper. We first define the expansion of DungC and Dung frameworks, where, intuitively an expansion

is obtained by some substituting some subset of the attacks (X, y) in \mathcal{R} (where in the case of a Dung framework X is a single argument rather than a set of arguments) as shown in Figure 16.

Definition 31 [Expansion of a framework] Let $\Delta = (\mathcal{A}, \mathcal{R})$ be a DungC framework. Then $\Delta' = (\mathcal{A}', \mathcal{R}')$ is said to be an expansion of Δ iff:

- \mathcal{R}' is any set of attacks $(\mathcal{R}^* \subseteq \mathcal{R}) \cup \{\text{expand}((X, y)) \mid (X, y) \in (\mathcal{R} - \mathcal{R}^*)\}$ where $\text{expand}((X, y)) = \{(\{x\}, \bar{x}), (\{\bar{x}\}, \overrightarrow{Xy}) \mid x \in X\} \cup \{\overrightarrow{Xy}\}, y\}$
- $\mathcal{A}' = \mathcal{A} \cup \{\bar{x}, \overrightarrow{Xy} \mid (\{\bar{x}\}, \overrightarrow{Xy}) \in \mathcal{R}'\}$

Let $\Delta = (\mathcal{A}, \mathcal{R})$ be a Dung framework. Then $\Delta' = (\mathcal{A}', \mathcal{R}')$ is said to be an expansion of Δ iff Δ' is the expansion of the DungC framework $(\mathcal{A}, \mathcal{R}^s)$ where $\mathcal{R}^s = \{(\{x\}, y) \mid (x, y) \in \mathcal{R}\}$.

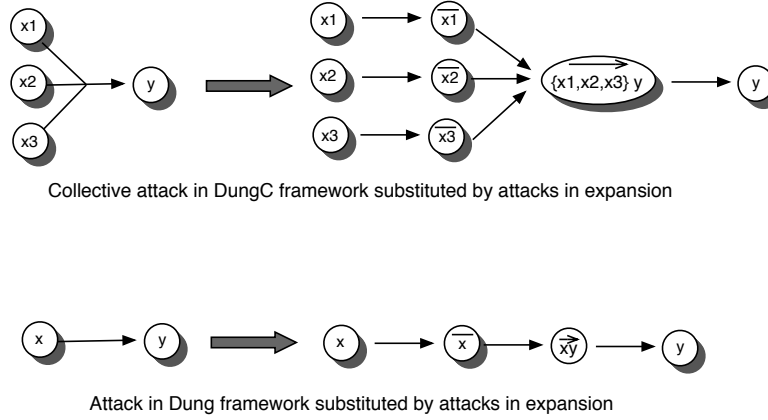


Figure 16: Expansions of DungC collective attacks and Dung attacks

Lemmas 1 and 2 prove an iff correspondence between admissible extensions of DungC frameworks and their expansions.

Lemma 1 Let $(\mathcal{A}', \mathcal{R}')$ be an expansion of the DungC framework $(\mathcal{A}, \mathcal{R})$. Let E be an admissible extension of $(\mathcal{A}, \mathcal{R})$. Then E' is an admissible extension of $(\mathcal{A}', \mathcal{R}')$ such that:

1. $E' = E \cup \{\overrightarrow{Xy} \mid X \subseteq E, (X, y) \in \mathcal{R}, \text{expand}((X, y)) \subseteq \mathcal{R}'\} \cup \{\bar{y} \mid X \subseteq E, (X, y) \in \mathcal{R}, \bar{y} \in \mathcal{A}'\}$
2. $\forall \alpha \in \mathcal{A}$, if α is acceptable w.r.t. E then α is acceptable w.r.t. E'

Proof: Let $\alpha \in \mathcal{A}$ be acceptable w.r.t. E . If $\neg \exists \Omega \subseteq \mathcal{A}$ s.t. $\Omega \mathcal{R} \alpha$, then α is not \mathcal{R}' attacked, and so α is acceptable w.r.t. E' .

– Suppose $\exists \Omega \subseteq \mathcal{A}$ s.t. $\Omega \mathcal{R} \alpha$. By admissibility of E , $\exists \Gamma \subseteq E$ s.t. $\Gamma \mathcal{R} \delta$, $\delta \in \Omega$. Suppose $\Omega \mathcal{R}' \alpha$ and it is not the case that $\Gamma \subseteq E'$, $\Gamma \mathcal{R}' \delta$. Then, $\Gamma \mathcal{R} \delta$ is some \mathcal{R} attack (X, y) such that $\text{expand}((X, y)) \subseteq \mathcal{R}'$. By definition of E' , $\overrightarrow{Xy} \in E'$, and since $\{\overrightarrow{Xy}\} \mathcal{R}' y$, α is acceptable w.r.t. E' .

– Suppose $\exists \Omega \subseteq \mathcal{A}'$ s.t. $\Omega \mathcal{R}' \alpha$, and it is not the case that $\Omega \mathcal{R} \alpha$. Then Ω is some \overrightarrow{Yz} , α some z , where $\forall y \in Y, \{y\} \mathcal{R}' \overrightarrow{y}, \{\overrightarrow{y}\} \mathcal{R}' Yx$. Since \overrightarrow{Yz} is obtained by expanding $Y\mathcal{R}z$, and by admissibility of $E \exists X \subseteq E$ s.t. $X \mathcal{R}' y'$ for some $y' \in Y$, then by definition of $E', \overrightarrow{y}' \in E'$, and so $\alpha (= z)$ is acceptable w.r.t E' .

To show E' is admissible it remains to show that arguments $\overrightarrow{Xy}, \overrightarrow{y} \in E'$ are acceptable w.r.t. E' . If $\overrightarrow{Xy} \in E'$ then $\forall x \in X, \{\overrightarrow{x}\} \mathcal{R}' \overrightarrow{Xy}$ and $\{x\} \mathcal{R}' \overrightarrow{x}$, and since $X \subseteq E$ and so $X \subseteq E'$, then \overrightarrow{Xy} is acceptable w.r.t. E' . If $\overrightarrow{y} \in E'$ then $(y, \overrightarrow{y}) \in \mathcal{R}', (X, y) \in \mathcal{R}$ and $X \subseteq E$ hence $X \subseteq E'$. If $\text{expand}((X, y)) \not\subseteq \mathcal{R}'$ then $X \mathcal{R}' y$, and so \overrightarrow{y} is acceptable w.r.t. E' . If $\text{expand}((X, y)) \subseteq \mathcal{R}'$, then $\overrightarrow{Xy} \in E'$, where $\overrightarrow{Xy} \mathcal{R}' y$, hence \overrightarrow{y} is acceptable w.r.t. E' .

Lemma 2 Let $(\mathcal{A}', \mathcal{R}')$ be an expansion of the DungC framework $(\mathcal{A}, \mathcal{R})$. Let E' be an admissible extension of $(\mathcal{A}', \mathcal{R}')$. Then $E = (E' \cap \mathcal{A})$ is an admissible extension of $(\mathcal{A}, \mathcal{R})$ such that:

- $\overrightarrow{Xy} \in E'$ implies $X \subseteq E, (X, y) \in \mathcal{R}$, and $\overrightarrow{y} \in E'$ implies $\exists X, X \subseteq E, (X, y) \in \mathcal{R}$
- $\forall \alpha \in \mathcal{A}, \alpha$ is acceptable w.r.t. E' implies α is acceptable w.r.t. E

Proof: Let $\alpha \in \mathcal{A}$ be acceptable w.r.t. E' . If $\neg \exists \Omega \subseteq \mathcal{A}'$ s.t. $\Omega \mathcal{R}' \alpha$, then α is not \mathcal{R} attacked and so α is acceptable w.r.t. E .

– Suppose $\exists \Omega \subseteq \mathcal{A}'$ s.t. $\Omega \mathcal{R}' \alpha$. By admissibility of $E', \exists \Gamma \subseteq E'$ s.t. $\Gamma \mathcal{R}' \delta, \delta \in \Omega$. Suppose $\Omega \mathcal{R} \alpha$ and it is not the case that $\Gamma \subseteq E, \Gamma \mathcal{R} \delta$. Then Γ is of the form $\{\overrightarrow{Xy}\}$ (and so $(X, y) \in \mathcal{R}$), δ of the form y , and $\forall x \in X, \{\overrightarrow{x}\} \mathcal{R}' \overrightarrow{Xy}, \{x\} \mathcal{R}' \overrightarrow{x}$, and so by the admissibility of $E', \forall x \in X, x \in E'$. Since $E = (E' \cap \mathcal{A}), X \subseteq E$, and since $(X, y) \in \mathcal{R}$ then α is acceptable w.r.t. E . Note that we have also shown that $\overrightarrow{Xy} \in E'$ implies $X \subseteq E, (X, y) \in \mathcal{R}$.

– Suppose $\exists \Omega \subseteq \mathcal{A}$ s.t. $\Omega \mathcal{R} \alpha$, and it is not the case that $\Omega \mathcal{R}' \alpha$. Then Ω is some Y, α some $z, \text{expand}((Y, z)) \subseteq \mathcal{R}'$. Hence $\{\overrightarrow{Yz}\} \mathcal{R}' z$, and by admissibility of $E', \exists \overrightarrow{y} \in E'$ s.t. $\{\overrightarrow{y}\} \mathcal{R}' \overrightarrow{Yz}$, where $y \in Y$. Since $\{y\} \mathcal{R}' \overrightarrow{y}$, then by the admissibility of E' either: 1) $\exists X \subseteq E'$ s.t. $X \mathcal{R}' y$, and $(X, y) \in \mathcal{R}, X \subseteq E$, and so $\alpha (= z)$ is acceptable w.r.t. E or; 2) $\exists \overrightarrow{Xy} \in E'$ s.t. $\{\overrightarrow{Xy}\} \mathcal{R}' y$, where $\text{expand}((X, y)) \subseteq \mathcal{R}'$ and $\forall x \in X, \{\overrightarrow{x}\} \mathcal{R}' \overrightarrow{Xy}, \{x\} \mathcal{R}' \overrightarrow{x}$, and so by the admissibility of $E', X \subseteq E'$, and so $X \subseteq E, \alpha (= z)$ is acceptable w.r.t. E . Note we have also shown that $\overrightarrow{y} \in E'$ implies $\exists X, X \subseteq E, (X, y) \in \mathcal{R}$.

Lemma 3 Let $\Delta' = (\mathcal{A}', \mathcal{R}')$ be an expansion of the DungC framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{admissible, complete, preferred, grounded, stable}\}, E$ is an s extension of $(\mathcal{A}, \mathcal{R})$ iff E' is an s extension of $(\mathcal{A}', \mathcal{R}')$, where:

1. $\forall \alpha \in \mathcal{A}, \alpha \in E$ iff $\alpha \in E'$
2. $\exists X \subseteq E, (X, y) \in \mathcal{R}$ iff $\overrightarrow{Xy} \in E'$, where $\text{expand}((X, y)) \subseteq \mathcal{R}'$
3. $\exists X \subseteq E, (X, y) \in \mathcal{R}$ iff $\overrightarrow{y} \in E'$, where $\overrightarrow{y} \in \mathcal{A}'$

Proof:

1. $s = \text{admissible}$. **1.1** Left to right half follows from Lemma 1. **1.2.** Right to left half follows from Lemma 2.

Let us define functions f and g s.t.

For any admissible extension E of Δ , $E' = h(E)$ as defined above.
For any admissible extension E' of Δ' , $E = g(E')$ as defined above.

We show that:

a) h is monotonically strictly increasing in the sense that $\forall E, F$ s.t. E and F are admissible extensions of Δ and $E \subset F$, then $h(E) \subset h(F)$

Suppose E and by **1.1** the corresponding admissible $E' = h(E)$. Suppose $E \subset F$ and by **1.1** the corresponding admissible $F' = h(F)$. It is obvious to see that $E' \subset F'$

b) g is monotonically strictly increasing in the sense that $\forall E', F'$ s.t. E' and F' are admissible extensions of Δ' and $E' \subset F'$, then $g(E') \subset g(F')$

Suppose E' and by **1.2** the corresponding admissible $E = g(E')$. Suppose $E' \subset F'$. Then:

$\forall \alpha \in (F' - E')$, if $\alpha \in \mathcal{A}$ or α is of the form \overrightarrow{Xy} or \overrightarrow{Xy} , then $\alpha \notin E$, respectively $\neg \exists X \subseteq E$ s.t. $(X, y) \in \mathcal{R}$, since otherwise, by application of **1.1** to E , we would have $\alpha \in E'$, respectively \overrightarrow{y} or $\overrightarrow{Xy} \in E'$. **(i)**

By **1.2**, let F be the corresponding admissible extension of Δ . Given **i)**, $E \subset F$.

2 s = complete.

2.1 Left to right half: Suppose E is complete. Applying **1.1**, E' is an admissible extension of Δ' , where $E = g(E')$. Suppose E' is not complete. Then $\exists \alpha \notin E'$, α acceptable w.r.t. E' , and so by Dung's fundamental lemma [27], $F' = E' \cup \{\alpha\}$ is admissible where $F' \supset E'$. Applying **1.2**, $F = g(F')$ is an admissible extension of Δ , where by **b)**, $E \subset F$, contradicting E is complete.

2.2 Right to left half: Suppose E' is complete. Applying **1.2**, E is an admissible extension of Δ , where $E' = h(E)$. Suppose E is not complete. Then $\exists \alpha \notin E$, α acceptable w.r.t. E , and so by Dung's fundamental lemma, $F = E \cup \{\alpha\}$ is admissible where $F \supset E$. Applying **1.1**, $F' = h(F)$ is an admissible extension of Δ' , where by **a)**, $E' \subset F'$, contradicting E' is complete.

3 s = preferred.

3.1 Left to right half: Suppose E is preferred. The proof now proceeds in the same way as **2.1**, except that supposing E' is not preferred immediately implies $\exists F' \supset E'$ s.t. F' is admissible.

3.2 Right to left half: Suppose E' is preferred. The proof now proceeds in the same way as **2.2**, except that supposing E is not preferred immediately implies $\exists F \supset E$ s.t. F is admissible.

3 s = grounded.

4.1 Left to right half: Suppose E is grounded. Applying **2.1**, E' is a complete extension of Δ' , where $E = g(E')$. Suppose E' is not grounded. Then $\exists F' \subset E'$, F' is complete. Applying **2.2**, $F = g(F')$ is a complete and so admissible extension of Δ , where by **b)**, $F \subset E$, contradicting E is grounded.

4.2 Right to left half: Suppose E' is grounded. Applying **2.2**, E is a complete extension of Δ , where $E' = h(E)$. Suppose E is not grounded. Then $\exists F \subset E$, F is complete. Applying **2.1**, $F' = h(F)$ is a complete and so admissible extension of Δ' , where by **a)**, $F' \subset E'$, contradicting E' is grounded.

5 s = stable:

5.1 Left to right half: Suppose E is stable. Applying **2.1**, E' is complete. Suppose $\alpha \in \mathcal{A}$, $\alpha \notin E'$. Then $\alpha \notin E$, $\exists \Gamma \subseteq E$ s.t. $(\Gamma, \alpha) \in \mathcal{R}$. Since $E \subseteq E'$, $\Gamma \subseteq E'$. Suppose $(\Gamma, \alpha) \notin \mathcal{R}'$. Then $(\Gamma, \alpha) = (X, y)$, $expand((X, y)) \subseteq \mathcal{R}'$ and so $\overrightarrow{Xy} \in E'$,

$(\overrightarrow{Xy}, y) \in \mathcal{R}'$. Suppose $\exists \alpha \in (\mathcal{A}' - \mathcal{A})$, $\alpha \notin E'$, $\neg \exists \Gamma \subseteq E'$ s.t. $(\Gamma, \alpha) \in \mathcal{R}'$. Then either:

α is of the form \bar{y} , in which case $\{y\}\mathcal{R}'\bar{y}$, $y \notin E'$. But then we have already shown that $y \in \mathcal{A}$ is attacked by some subset of E' , and so \bar{y} is acceptable w.r.t. E' , contradicting E' is complete;

α is of the form \overrightarrow{Xy} , in which case $\forall x \in X$, $\{\bar{x}\}\mathcal{R}'\overrightarrow{Xy}$, $\bar{x} \notin E'$. For any such \bar{x} , $x \in E'$, and since $\{x\}\mathcal{R}'\bar{x}$, then \overrightarrow{Xy} is acceptable w.r.t. E' , contradicting E' is complete.

5.2 Right to left half: Suppose E' is a stable extension. Applying **2.2**, E is complete. Suppose some $\alpha \in \mathcal{A}$, $\alpha \notin E$. Then $\alpha \notin E'$, $\exists \Gamma \subseteq E'$ s.t. $(\Gamma, \alpha) \in \mathcal{R}'$. Suppose it is not the case that $\Gamma \subseteq E$ and $(\Gamma, \alpha) \in \mathcal{R}$. Then Γ is some $\{\overrightarrow{Xy}\}$, α some y , and by **2.2**, $\exists X \subseteq E$, $(X, y) \in \mathcal{R}$.

Notice that since the definitions of conflict free, acceptability and the extensions of a standard Dung framework are a special case of DungC frameworks (i.e., the case where every attack originates from a singleton set of arguments), then corollaries of the above results establish the same correspondences for Dung frameworks and their expansions.

Corollary 1 Let $\Delta' = (\mathcal{A}', \mathcal{R}')$ be an expansion of the Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{admissible, complete, preferred, grounded, stable}\}$, E is an s extension of $(\mathcal{A}, \mathcal{R})$ iff E' is an s extension of $(\mathcal{A}', \mathcal{R}')$, where:

1. $\forall \alpha \in \mathcal{A}$, $\alpha \in E$ iff $\alpha \in E'$
2. $\exists x \in E$, $(x, y) \in \mathcal{R}$ iff $\overrightarrow{x\bar{y}} \in E'$, where $\text{expand}((x, y)) \in \mathcal{R}'$
3. $\exists x \in E$, $(x, y) \in \mathcal{R}$ iff $\bar{y} \in E'$, where $\bar{y} \in \mathcal{A}'$

7.1 Proofs for Section 2.1.2

Proposition 1 Let $\Delta = (\mathcal{A}, \mathcal{R})$, and for $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, let E be an s extension of Δ . Then there exists an s labelling \mathcal{L} of Δ such that $\text{in}(\mathcal{L}) = E$, and $\text{out}(\mathcal{L}) = (E+) \cup (E-)$.

Proof: Obvious, given Theorem 1 and Definition 6.

7.2 Proofs for Sections 3.2 and 3.3

Since DungC frameworks with collective attacks are a straightforward generalisation of Dung frameworks, we will establish a series of results for DungC frameworks, and then state Section 3.2's results as corollaries of the results shown for DungC frameworks. In what follows we will make use of the following generalisation of Notation 1.

Notation 3 Let $(\mathcal{A}, \mathcal{R})$ be a DungC framework, and $E \subseteq \mathcal{A}$.

- $\overrightarrow{E+}$ denotes the set of attacks originating from *sets of* arguments in E :
 $\overrightarrow{E+} = \{(B, y) \mid B \subseteq E, B\mathcal{R}y\}$
- $E+$ denotes the set of arguments attacked by *sets of* arguments $B \subseteq E$:
 $E+ = \{y \mid B \subseteq E, B\mathcal{R}y\}$
- $E-$ denotes the set of *sets of* arguments that attack arguments in E :
 $E- = \{B \mid B\mathcal{R}x, x \in E\}$

Lemma 4 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{dc})$ be the *MAF* of a DungC framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, E is an s extension of Δ iff E' is an s extension of Δ_M , where:

1. $X\mathcal{R}y \in \overrightarrow{E+}$ iff $(X \text{ def } y) \in E'$
2. $y \in E+$ iff $(r - y) \in E'$
3. $x \in E$ iff $(j - x) \in E'$

Proof: Let $\Delta^* = (\mathcal{A}^*, \mathcal{R}^*)$ be the expansion of $\Delta = (\mathcal{A}, \mathcal{R})$ such that $\forall (X, y) \in \mathcal{R}$, $\text{expand}((X, y)) \subseteq \mathcal{R}^*$. Let $\Delta^{**} = (\mathcal{A}^{**}, \mathcal{R}^{**})$ be Δ^* 's *augmentation*, defined as follows:

$$\mathcal{A}^{**} = \mathcal{A}^* \cup \{\bar{y} | y \in \mathcal{A}\} \text{ and } \mathcal{R}^{**} = \mathcal{R}^* \cup \{(\{y\}, \bar{y}) | y \in \mathcal{A}\}$$

In other words Δ^{**} is defined by additionally including attacks $(\{y\}, \bar{y})$ for those y that are not a member of some set Y s.t. $(Y, x) \in \mathcal{R}$, and are thus not obtained by expanding the attacks in Δ . The following holds:

For $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, E^* is an s extension of Δ^* iff E^{**} is an s extension of Δ^{**} , where $E^* \subseteq E^{**}$, and $(E^{**} - E^*) = \{\bar{y} | \bar{X}y \in E^*, \bar{y} \notin \mathcal{A}^*\}$ **(i)**

(i) follows given that no \mathcal{R}^{**} attacks originate from the extra \bar{y} arguments in $(\mathcal{A}^{**} - \mathcal{A}^*)$, and so $\forall \alpha \in E^* \cap E^{**}$, α is acceptable w.r.t. E^* iff α is acceptable w.r.t. E^{**} , and since $\bar{X}y \in E^*$ iff $\bar{X}y \in E^{**}$, $\{\bar{X}y\}\mathcal{R}^*y$ iff $\{\bar{X}y\}\mathcal{R}^{**}y$, and $\forall y, \{y\}\mathcal{R}^{**}\bar{y}$, then each $\bar{y} \in (E^{**} - E^*)$ is acceptable w.r.t. E^{**} .

It should now be obvious to see that the argument graphs Δ_M and Δ^{**} are isomorphic, where f is a bijective function from \mathcal{A}_M to \mathcal{A}^{**} s.t.

- $f((j - x)) = x$ (where $x \in \mathcal{A}, \mathcal{A}^*, \mathcal{A}^{**}$)
- $f((r - y)) = \bar{y}$
- $f((X \text{ def } y)) = \bar{X}y$

and g is a bijective function from \mathcal{R}_M to \mathcal{R}^{**} s.t. $g(\alpha, \beta) = (f(\alpha), f(\beta))$.

To see that this is so, observe that $(\mathcal{A}_M, \mathcal{R}_M)$ is effectively obtained by replacing every $x \in \mathcal{A}$ by $(j - x)$, and then every attack (X, y) is expanded, interspersing $(j - x)\mathcal{R}_M(r - x)$, $(r - x)\mathcal{R}_M(X \text{ def } y)$ for all $x \in X$, and $(X \text{ def } y)\mathcal{R}_M(j - y)$, and for every $(j - x)$, the attack $(j - x)\mathcal{R}_M(r - x)$ is added.

We now prove the main result:

- By lemma 3, E is an s extension of Δ iff E^* is an s extension of the expansion Δ^* , where $\forall \alpha \in \mathcal{A}$, $\alpha \in E$ iff $\alpha \in E^*$, $X \subseteq E$ and $(X, y) \in \mathcal{R}$ iff $\bar{X}y \in E^*$ (recall that every attack (X, y) is expanded in Δ^*) and $\bar{y} \in E^*$ s.t. $\bar{y} \in \mathcal{A}^*$.
- Given **(i)**, E is an s extension of Δ iff E^{**} is an s extension of the augmentation Δ^{**} of Δ^* , where $\forall \alpha \in \mathcal{A}$, $\alpha \in E$ iff $\alpha \in E^{**}$, $X \subseteq E$ and $(X, y) \in \mathcal{R}$ iff $\bar{X}y, \bar{y} \in E^{**}$, (given that $\bar{X}y \in E^{**}$ implies $\bar{y} \in E^{**}$).
- By the isomorphism of Δ_M and Δ^{**} : E is an s extension of Δ iff E' is an s extension of Δ_M , where $\forall x \in \mathcal{A}$, $x \in E$ iff $(j - x) \in E'$, $X \subseteq E$, $(X, y) \in \mathcal{R}$ (i.e., $X\mathcal{R}y \in \overrightarrow{E+}$ and $y \in E+$) iff $((X \text{ def } y)), (r - y) \in E'$.

Corollary 2 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_d)$ be the *MAF* of a Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$, E is an s extension of Δ iff E' is an s extension of Δ_M , where:

1. $x\mathcal{R}y \in \overrightarrow{E'+}$ iff $(x \text{ def } y) \in E'$
2. $y \in E+$ iff $(r - y) \in E'$
3. $x \in E$ iff $(j - x) \in E'$

Theorem 2 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_d)$ be the *MAF* of a Dung framework $(\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Proof Follows from Corollary 2.

Proposition 2 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_d)$ be the *MAF* of a Dung framework $\Delta = (\mathcal{A}, \mathcal{R})$. For $s \in \{\text{admissible, complete, grounded, preferred, stable}\}$: There exists an s labelling \mathcal{L} of Δ iff there exists an s extension E of Δ_M such that:

1. $x \in \text{in}(\mathcal{L})$ iff $(j - x) \in E$
2. $y \in \text{out}(\mathcal{L})$ iff $(r - y) \in E$

Proof:

Left to right: Let \mathcal{L} be an s labelling of Δ . By Theorem 1, $E' = \text{in}(\mathcal{L})$ is an s extension of Δ . By Corollary 2, there is an s extension E of Δ_M , where $E = \{(j - x) | x \in E'\} \cup \{(x \text{ def } y) | x\mathcal{R}y \in \overrightarrow{E'+}\} \cup \{(r - y) | y \in E'+\}$. Hence, $x \in \text{in}(\mathcal{L})$ implies $(j - x) \in E$, and since by Definition 6, $y \in \text{out}(\mathcal{L})$ implies $y \in E'+$, then $y \in \text{out}(\mathcal{L})$ implies $(r - y) \in E$.

Right to left: Let E be an s extension of Δ_M . Let $E' = \{x | (j - x) \in E\}$ be the s extension of Δ as defined in Corollary 2, where if $(r - y) \in E$ then $y \in E'+$. By Proposition 1 there is an s labelling \mathcal{L} where $\text{in}(\mathcal{L}) = E'$, $\text{out}(\mathcal{L}) = E'+$ (recall that $E' - \subseteq E'+$).

Theorem 3 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_{dc})$ be the *MAF* of a DungC framework $\Delta = (\mathcal{A}, \mathcal{R})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Proof: Follows from Lemma 4.

7.3 Proofs for Sections 3.4 and 3.5

In what follows we make use of the following notation:

Notation 4 Let $(\mathcal{A}, \text{defeat})$ be defined on the basis of $(\mathcal{A}, \mathcal{R}, \mathcal{P})$ as in Definition 9, and let $E \subseteq \mathcal{A}$.

- $\overrightarrow{E'+}$ denotes the set of defeats from arguments in E :
 $\overrightarrow{E'+} = \{(x, y) | (x, y) \in \text{defeat}, x \in E\}$

- $E+$ denotes the set of arguments defeated by arguments in E :
 $E+ = \{y \mid (x, y) \in defeat, x \in E\}$
- $E-$ denotes the set of arguments that defeat arguments in E :
 $E- = \{y \mid (y, x) \in defeat, x \in E\}$

Lemma 5 Let $(\mathcal{A}_P, \mathcal{R}_P, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_P)$ be the P -MAF of a PAF $(\mathcal{A}, \mathcal{R}, \mathcal{P})$. For $s \in \{\text{admissible, complete, preferred, stable, grounded}\}$:
 E is an s extension of $(\mathcal{A}, \mathcal{R}, \mathcal{P})$ iff $E' \cup \{(xPy) \mid x \gg_P y\}$ is an s extension of $(\mathcal{A}_P, \mathcal{R}_P)$, where:

1. $(x, y) \in \overrightarrow{E+}$ iff $(x \text{ def } y) \in E'$
2. $y \in E+$ iff $(r - y) \in E'$
3. $x \in E$ iff $(j - x) \in E'$

Proof By Definition 9, E is an s extension of $(\mathcal{A}, \mathcal{R}, \mathcal{P})$ iff E is an s extension of the Dung framework $(\mathcal{A}, defeat)$, where $(x, y) \in defeat$ iff $(x, y) \in \mathcal{R}$ and $\neg(y \gg_P x)$. Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_d)$ be the MAF of $(\mathcal{A}, defeat)$. By Corollary 2, E is an s extension of $(\mathcal{A}, defeat)$ iff E^M is an s extension of $(\mathcal{A}_M, \mathcal{R}_M)$, where:

1. $(x, y) \in \overrightarrow{E+}$ iff $(x \text{ def } y) \in E^M$
2. $y \in E+$ iff $(r - y) \in E^M$
3. $x \in E$ iff $(j - x) \in E^M$

Hence it suffices to show the following result:

E^M is an s extension of $(\mathcal{A}_M, \mathcal{R}_M)$ iff $E^P = E^M \cup \{(xPy) \mid x \gg_P y\}$ is an s extension of $(\mathcal{A}_P, \mathcal{R}_P)$

Firstly, note that it is straightforward to show that:

- $\mathcal{A}_M \subseteq \mathcal{A}_P$, where $\mathcal{A}_P - \mathcal{A}_M = \{(xPy) \mid x \gg_P y\} \cup \{(y \text{ def } x) \mid x \gg_P y, y \mathcal{R} x\}$
- $\mathcal{R}_M \subseteq \mathcal{R}_P$, where $\mathcal{R}_P - \mathcal{R}_M = \{((xPy), (y \text{ def } x)), ((r - y), (y \text{ def } x)), ((y \text{ def } x), (j - x)) \mid x \gg_P y, y \mathcal{R} x\}$

1.1 Left to right half for $s = \text{admissible}$: Assume E^M is an admissible extension of $(\mathcal{A}_M, \mathcal{R}_M)$ and E^P defined as above. We show that every $\alpha \in E^P$ is acceptable w.r.t. E^P :

- 1.1.1 Since each $(xPy) \in \mathcal{A}_P$ is not attacked by any argument, then each $(xPy) \in \mathcal{A}_P$ is acceptable w.r.t. E^P .
- 1.1.2 Suppose $\alpha \in E^M$ and so $\alpha \in E^P$.
 - Suppose $(\beta, \alpha) \in \mathcal{R}_P$ and $(\beta, \alpha) \in \mathcal{R}_M$. Hence, $\exists \gamma \in E^M, (\gamma, \beta) \in \mathcal{R}_M$, and since $\mathcal{R}_M \subseteq \mathcal{R}_P, (\gamma, \beta) \in \mathcal{R}_P$, where $\gamma \in E^P$ by definition of E^P .
 - Suppose $(\beta, \alpha) \in \mathcal{R}_P$ and $(\beta, \alpha) \notin \mathcal{R}_M$. Since $\alpha \in E^M$, then by i) and ii) it must be the case that α is of the form $(j - x)$, β is of the form $(y \text{ def } x)$, and $(xPy) \mathcal{R}_P (y \text{ def } x)$, where $(xPy) \in E^P$.

1.2 Right to left half for $s = \text{admissible}$: Assume E^P is an admissible extension of $(\mathcal{A}_P, \mathcal{R}_P)$ and E^M defined as above. We show that every $\alpha \in E^M$ is acceptable w.r.t. E^M . Suppose some $(\beta, \alpha) \in \mathcal{R}_M$. Hence $(\beta, \alpha) \in \mathcal{R}_P$ and $\exists \gamma \in E^P, \gamma \mathcal{R}_P \beta$.

1.2.1 Suppose $\gamma \in E^M$, $(\gamma, \beta) \notin \mathcal{R}_M$. By i) and ii), γ must be of the form $(r - y)$, β of the form $(y \text{ def } x)$, and $(y \text{ def } x) \notin \mathcal{A}_M$, contradicting $(\beta, \alpha) \in \mathcal{R}_M$.

1.2.2 Suppose $\gamma \notin E^M$, in which case γ is of the form (xPy) , β is of the form $(y \text{ def } x)$, and by i), $(y \text{ def } x) \notin \mathcal{A}_M$, contradicting $(\beta, \alpha) \in \mathcal{R}_M$.

• $s \in \{\text{complete, grounded, preferred}\}$. We define functions f and g s.t.

For any admissible extension E^M of $\Delta^M = (\mathcal{A}_M, \mathcal{R}_M)$, $E^P = h(E^M)$ as defined above.

For any admissible extension E^P of $\Delta^P = (\mathcal{A}_P, \mathcal{R}_P)$, $E^M = g(E^P)$ as defined above.

We show that:

a) h is monotonically strictly increasing in the sense that $\forall E^M, F^M$ s.t. E^M and F^M are admissible extensions of Δ^M and $E^M \subset F^M$, then $h(E^M) \subset h(F^M)$.

Suppose E^M and by **1.1** the corresponding admissible $E^P = h(E^M)$. Suppose $E^M \subset F^M$ and by **1.1** the corresponding admissible $F^P = h(F^M)$. It is obvious to see that $E^P \subset F^P$.

b) g is monotonically strictly increasing in the sense that $\forall E^P, F^P$ s.t. E^P and F^P are admissible extensions of Δ^P and $E^P \subset F^P$, then $g(E^P) \subset g(F^P)$.

Suppose E^P and by **1.2** the corresponding admissible $E^M = g(E^P)$. Suppose $E^P \subset F^P$, where by 1.1.1, $\forall \alpha \in (F^P - E^P)$, α is not of the form (xPy) . Hence, by **1.2**, F^M is the corresponding admissible extension of Δ , where $E^M \subset F^M$.

Given **a)** and **b)**, the result is shown to hold for $s \in \{\text{complete, grounded, preferred}\}$ in exactly the same way as in Lemma 3.

• *Left to right half for $s = \text{stable}$:* Assume E^M is stable, and E^P defined as above. Suppose $\exists \alpha \notin E^P$ s.t. no argument in E^P \mathcal{R}_P attacks α . Then $\alpha \in \mathcal{A}_M$, since otherwise α is of the form (xPy) , contradicting $(xPy) \in E^P$, or α is of the form $(y \text{ def } x)$, where $(xPy) \mathcal{R}_P (y \text{ def } x)$, contradicting no argument in E^P \mathcal{R}_P attacks α . Hence, $\alpha \notin E^M$ (since otherwise $\alpha \in E^P$ by definition of E^P), and since $\mathcal{R}_M \subseteq \mathcal{R}_P$, α is not \mathcal{R}_M attacked by any argument in E^M , contradicting E^M is stable.

Right to left half for $s = \text{stable}$: Assume E^P is stable. If E^M is not stable then $\exists \beta \in \mathcal{A}_M$, $\beta \notin E^M$ s.t. no argument in E^M \mathcal{R}_M attacks β . Since $\mathcal{A}_M \subseteq \mathcal{A}_P$, then $\beta \in \mathcal{A}_P$. Since $E^M \subseteq E^P$, no argument in $E^P - E^M$ is in \mathcal{A}_M , and E^P is stable, then $\beta \notin E^P$ and there is an argument α in E^P that \mathcal{R}_P attacks β . $\alpha \in E^P$ is either:

- an argument of the form (xPy) , in which case β is of the form $(y \text{ def } x)$. But then by i) and ii), $(y \text{ def } x) \notin \mathcal{A}_M$, contradicting $\beta \in \mathcal{A}_M$.

- not of the form (xPy) , in which case $\alpha \in E^M$. By assumption that no argument in E^M \mathcal{R}_M attacks β , and by i) and ii), $\alpha \mathcal{R}_P \beta = (y \text{ def } x) \mathcal{R}_P (j - x)$ or $(r - x) \mathcal{R}_P (y \text{ def } x)$, where $(y \text{ def } x) \notin \mathcal{A}_M$, contradicting $\alpha \in \mathcal{A}_M$ and $\beta \in \mathcal{A}_M$ respectively.

Theorem 4 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_P)$ be the P -MAF of a PAF $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{P})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Proof Since every complete (and so grounded, preferred and stable) extension of $(\mathcal{A}_M, \mathcal{R}_M)$ contains the set $\{(xPy) | x \gg_P y\}$, then the theorem follows from Lemma 5.

Lemma 6 Let $\Delta_V = (\mathcal{A}_V, \mathcal{R}_V, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ be the V -MAF of an $aVAF$ $\Delta = (\mathcal{A}, \mathcal{R}, V, val, a)$. Let the extensions of Δ be the extensions of $(\mathcal{A}, defeat_a)$, where x defeats_a y iff $x\mathcal{R}y$, and $\neg(val(y) >_a val(x))$ (as defined in Definition 10). Let $\overline{E+}, E+$ be defined as in Notation 4. Then, for $s \in \{\text{admissible, complete, preferred, stable, grounded}\}$: E is an s extension of Δ iff $E' \cup \{(x_v P y_{v'}) | v >_a v'\} \cup \{(>_a)\}$ is an s extension of $(\mathcal{A}_V, \mathcal{R}_V)$, where:

1. $(x, y) \in \overline{E+}$ iff $(x \text{ def } y) \in E'$
2. $y \in E+$ iff $(r - y) \in E'$
3. $x \in E$ iff $(j - x) \in E'$

Proof Let $(\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_d)$ be the Dung MAF of $(\mathcal{A}, defeat_a)$. By Corollary 2, E is an s extension of $(\mathcal{A}, defeat_a)$ iff E^M is an s extension of $(\mathcal{A}_M, \mathcal{R}_M)$, where:

1. $(x, y) \in \overline{E+}$ iff $(x \text{ def } y) \in E^M$
2. $y \in E+$ iff $(r - y) \in E^M$
3. $x \in E$ iff $(j - x) \in E^M$

Hence, letting $E^M = E'$, it suffices to show that E^M is an s extension of $(\mathcal{A}_M, \mathcal{R}_M)$ iff $E^V = E^M \cup \{(x_v P y_{v'}) | v >_a v'\} \cup \{(>_a)\}$ is an s extension of $(\mathcal{A}_V, \mathcal{R}_V)$.

Firstly, it is straightforward to show that:

i) $\mathcal{A}_M \subseteq \mathcal{A}_V$, where:

$$\mathcal{A}_V - \mathcal{A}_M = \{>_a\} \cup \{(x_v P y_{v'}) | (x_v P y_{v'}) \in \mathcal{A}_V\} \cup \{(y \text{ def } x) | val(x) >_a val(y)\}$$

i.e., the set of arguments \mathcal{A}_V extends \mathcal{A}_M with the audience argument $>_a$, all value preference arguments, and the arguments $(y \text{ def } x)$ that by definition of Δ_V are attacked by value preference arguments endorsed by a .

ii) $\mathcal{R}_M \subseteq \mathcal{R}_V$, where $\mathcal{R}_V - \mathcal{R}_M$ is the set of attacks $((x_v P y_{v'}), (y_{v'} P x_v))$ between value preference arguments, all attacks $((>_a), (y_{v'} P x_v))$ from the audience argument to value preference arguments, all attacks $((x_v P y_{v'}), (y \text{ def } x))$ from value preference to attack arguments, and incoming and outgoing attacks to and from arguments $(y \text{ def } x)$ that do not appear in \mathcal{A}_M given that $val(x) >_a val(y)$, i.e.:

$$\{((r - y), (y \text{ def } x)), ((y \text{ def } x), (j - x)) | val(x) >_a val(y)\}$$

iii) E^V contains the audience argument $(>_a)$ and partitions the value preference arguments, so that for every $(y_{v'} P x_v) \notin E^V$, $(y_{v'} P x_v)$ is attacked by $(>_a)$ (it is not the case that $v' >_a v$) thus reinstating every audience endorsed $(x_v P y_{v'}) \in E^V$ against the attack by $(y_{v'} P x_v)$.

Left to right half for $s = \text{admissible}$: Assume E^M is an admissible extension of $(\mathcal{A}_M, \mathcal{R}_M)$ and E^V defined as above. We show that every $\alpha \in E^V$ is acceptable w.r.t. E^V :

- Let $\alpha = (>_a)$. Then $(>_a)$ is acceptable w.r.t. E^V since $>_a$ is not attacked by any argument, and by iii), all $(x_v P y_{v'}) \in E^V$ are acceptable w.r.t. E^V .
- Suppose $\alpha \in E^M \cap E^V$.
 - Suppose $(\beta, \alpha) \in \mathcal{R}_V$ and $(\beta, \alpha) \in \mathcal{R}_M$. Hence, $\exists \gamma \in E^M$, $(\gamma, \beta) \in \mathcal{R}_M$, and since $\mathcal{R}_M \subseteq \mathcal{R}_V$, $(\gamma, \beta) \in \mathcal{R}_V$, where $\gamma \in E^V$ by definition of E^V .
 - Suppose $(\beta, \alpha) \in \mathcal{R}_V$ and $(\beta, \alpha) \notin \mathcal{R}_M$. Then by i) and ii) it must be the case that α is of the form $(j - x)$, β is of the form $(y \text{ def } x)$, where $val(x) >_a val(y)$, and $(j - x)$

is acceptable w.r.t. E^V given $(x_v P y_{v'}) \mathcal{R}_V (y \text{ def } x)$, $(x_v P y_{v'}) \in E^V$.

Right to left half for $s = \text{admissible}$: Assume E^V is an admissible extension of $(\mathcal{A}_V, \mathcal{R}_V)$ and E^M defined as above. We show that every $\alpha \in E^M$ is acceptable w.r.t. E^M . Suppose $(\beta, \alpha) \in \mathcal{R}_M$. Hence $\beta \mathcal{R}_V \alpha$ and $\exists \gamma \in E^V, \gamma \mathcal{R}_V \beta$.

- Suppose $\gamma \in E^M$, $(\gamma, \beta) \notin \mathcal{R}_M$. By i) and ii), γ must be of the form $(r - y)$, β of the form $(y \text{ def } x)$, and $(y \text{ def } x) \notin \mathcal{A}_M$, contradicting $(\beta, \alpha) \in \mathcal{R}_M$.
- Suppose $\gamma \notin E^M$. Then γ is of the form $(x_v P y_{v'})$ or $(>_a)$, and β is of the form $(y \text{ def } x)$ or $(y_{v'} P x_v)$. By i), in either case any such β is not in \mathcal{A}_M , contradicting $(\beta, \alpha) \in \mathcal{R}_M$.

Left to right and right to left half for $s \in \{\text{complete, grounded, preferred}\}$: Let us define functions f and g s.t. for any admissible extension E^M of $\Delta^M = (\mathcal{A}_M, \mathcal{R}_M)$, $E^V = h(E^M)$ as defined above, and for any admissible extension E^V of $\Delta^V = (\mathcal{A}_V, \mathcal{R}_V)$, $E^M = g(E^V)$ as defined above.

We show that **a)** h is monotonically strictly increasing, and **b)** g is monotonically strictly increasing, in the same way as in Lemma 5, substituting the superscript V for P , and in the proof of **b)** noting that $\forall \alpha \in (F^V - E^V)$, by definition of E^V and F^V , α is not a value preference argument $(x_v P y_{v'})$ or the audience argument $(>_a)$. Given **a)** and **b)**, the result is shown to hold for $s \in \{\text{complete, grounded, preferred}\}$ in exactly the same way as in Lemma 3.

Left to right half for $s = \text{stable}$: The proof proceeds in the same way as for the left to right half for $s = \text{stable}$ in Lemma 5 (substituting \mathcal{R}_V for \mathcal{R}_P), except we show $\alpha \in \mathcal{A}_M$ as follows. Suppose otherwise. Then:

- α is the audience argument $(>_a)$, contradicting $\alpha \notin E^V$, or;
- by iii), α is a value preference argument $(y_{v'} P x_v)$ attacked by $(>_a)$, contradicting no argument in E^V \mathcal{R}_V attacks α , or α is a value preference argument $(x_v P y_{v'})$ endorsed by $(>_a)$, contradicting $\alpha \notin E^V$, or;
- α is of the form $(y \text{ def } x)$ s.t. $\text{val}(x) >_a \text{val}(y)$, and so $(y \text{ def } x)$ is attacked by some $(x_v P y_{v'}) \in E^V$ that is endorsed by $(>_a)$, contradicting no argument in E^V \mathcal{R}_V attacks α .

Right to left half for $s = \text{stable}$: Assume E^V is stable and E^M defined as above. By the right to left for $s = \text{complete}$, E^M is complete. If E^M is not stable then $\exists \beta \in \mathcal{A}_M, \beta \notin E^M$ s.t. no argument in E^M \mathcal{R}_M attacks β . Since $\mathcal{A}_M \subseteq \mathcal{A}_V$, then $\beta \in \mathcal{A}_V$, and since $E^M \subseteq E^V$ and E^V is stable, there is a α in E^V that \mathcal{R}_V attacks β . Suppose $\alpha \in E^V - E^M$. Then α is of the form $(x_v P y_{v'})$ or $(>_a)$, and β is of the form $(y \text{ def } x)$ or $(y_{v'} P x_v)$, in either case contradicting $\beta \in \mathcal{A}_M$. Suppose $\alpha \in E^V, \alpha \in E^M$, where by assumption that no argument in E^M \mathcal{R}_M attacks β , and by i) and ii), $\alpha \mathcal{R}_V \beta = (y \text{ def } x) \mathcal{R}_V (j - x)$ or $(r - x) \mathcal{R}_V (y \text{ def } x)$, and $(y \text{ def } x) \notin \mathcal{A}_M$. Hence, the first case contradicts $\alpha \in \mathcal{A}_M$, and the second case contradicts $\beta \in \mathcal{A}_M$.

Theorem 5 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ be the V -MAF of an $aVAF$ $\Delta = (\mathcal{A}, \mathcal{R}, V, \text{val}, a)$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics

Proof Given that every complete (and so grounded, preferred and stable) extension of $(\mathcal{A}_M, \mathcal{R}_M)$ contains the set $\{(x_v P y_{v'}) | v >_a v'\} \cup \{(>_a)\}$, then the theorem follows immediately from Lemma 6.

Lemma 7 Let $\Delta_V = (\mathcal{A}_V, \mathcal{R}_V, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ be the V -MAF of a VAF $\Delta = (\mathcal{A}, \mathcal{R}, V, val, P)$. Then E is a preferred extension of $(\mathcal{A}, \mathcal{R}, V, val, a)$, where $a \in P$, iff $E' \cup \{(x_v P y_{v'}) \mid v >_a v'\} \cup \{(>_a)\}$ is a preferred extension of Δ_V , where:

1. $(x, y) \in \overrightarrow{E+}$ iff $(x \text{ def } y) \in E'$
2. $y \in E+$ iff $(r - y) \in E'$
3. $x \in E$ iff $(j - x) \in E'$

Proof Given Lemma 6 it suffices to show that:

$E^* = E' \cup \{(x_v P y_{v'}) \mid v >_a v'\} \cup \{(>_a)\}$ is a preferred extension of the metalevel formulation $\Delta_a = (\mathcal{A}_a, \mathcal{R}_a, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ of $(\mathcal{A}, \mathcal{R}, V, val, a)$, where $a \in P$, iff E^* is a preferred extension of Δ_V .

Firstly, note that it is straightforward to show that for each Δ_a :

1. $\mathcal{A}_a \subseteq \mathcal{A}_V$, where $\mathcal{A}_V - \mathcal{A}_a = \{(>_{a'}) \mid a' \neq a, a' \in P\}$
2. $\mathcal{R}_a \subseteq \mathcal{R}_V$, where $\mathcal{R}_V - \mathcal{R}_a = \{((>_{a'}), (x_v P y_{v'})), ((>_{a'}), (>_a)), ((>_a), (>_{a'})) \mid a' \neq a, a' \in P, v >_a v'\}$

a) Let E^* be an admissible extension of some Δ_a . We show that E^* is an admissible extension of Δ_V . Suppose $(\beta, \alpha) \in \mathcal{R}_V$, $\alpha \in E^*$, and:

- $(\beta, \alpha) \in \mathcal{R}_a$, in which case $\exists \gamma \in E^*$, $\gamma \mathcal{R}_a \beta$, and by 2, $\gamma \mathcal{R}_V \beta$;
- $(\beta, \alpha) \notin \mathcal{R}_a$, in which case by 1 and 2, β must be some $(>_{a'})$, $a' \neq a$, α is either some $(x_v P y_{v'})$ or $(>_a)$. But then $(>_a) \mathcal{R}_V (>_{a'})$ where $(>_a) \in E^*$.

b) Let E^* be an admissible extension of Δ_V . We show that E^* is an admissible extension of Δ_a . Suppose $\beta \mathcal{R}_a \alpha$, $\alpha \in E^*$. By 2), $\beta \mathcal{R}_V \alpha$, and by the admissibility of E^* , $\exists \gamma \in E^*$, $\gamma \mathcal{R}_V \beta$. Suppose $\neg(\gamma \mathcal{R}_a \beta)$. Since $\beta \in \mathcal{A}_a$, then by 1 and 2, it must be that γ is some $(>_{a'})$ s.t. $a' \neq a$, and β is a value preference argument $(x_v P y_{v'})$. But this contradicts E^* is a conflict free subset of \mathcal{A}_V , given that $(>_a) \mathcal{R}_V (>_{a'})$ and $(>_a) \in E^*$.

Suppose E^* is a preferred extension of some Δ_a . By **a)**, E^* is an admissible extension of Δ_V . Suppose E^* is not a preferred extension of Δ_V . Then, $\exists E^{**} \supset E^*$ s.t. E^{**} is an admissible extension of Δ_V . Suppose $\alpha \in (E^{**} - E^*)$, where $\alpha \in (\mathcal{A}_V - \mathcal{A}_a)$. But then this contradicts E^{**} is conflict free, given that α is either a value preference or audience argument, and $(>_a) \in E^*$, $(>_a) \mathcal{R}_V (y_{v'} P x_v)$ for every $(y_{v'} P x_v) \notin E^*$ (see iii) in Lemma 6), and $(>_a) \mathcal{R}_V (>_{a'})$ for every $a' \neq a$. Suppose $\alpha \in (E^{**} - E^*)$, where $\alpha \in \mathcal{A}_a$. By **b)**, E^{**} is an admissible extension of Δ_a , contradicting E^* is a preferred extension of Δ_a .

Suppose E^* is a preferred extension of Δ_V . By **b)**, E^* is an admissible extension of Δ_a . Suppose E^* is not a preferred extension of Δ_a . Then, $\exists E^{**} \supset E^*$ s.t. E^{**} is an admissible extension of Δ_a . But then by **a)**, E^{**} is an admissible extension of Δ_V , contradicting E^* is a preferred extension of Δ_V .

Theorem 6 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_v)$ be the V -MAF of a VAF $\Delta = (\mathcal{A}, \mathcal{R}, V, val, P)$. Then for any $x \in \mathcal{A}$, $(j - x) \in \mathcal{A}_M$:

1. x is an objectively acceptable argument of Δ iff $(j - x)$ is a sceptically justified argument of Δ_M under the preferred semantics.
2. x is a subjectively acceptable argument of Δ iff $(j - x)$ is a credulously justified argument of Δ_M under the preferred semantics.

Proof

1) Left to right: Let x be an objectively acceptable argument of Δ . Suppose any preferred extension E' of Δ_M . Since E' is complete, it must contain some set $\{(x_v P y_{v'}) \mid v >_a v'\} \cup \{(>_a)\}$ where $(>_a) \in \mathcal{A}_M$. Suppose $(j - x) \notin E'$. But then by the right to left half of Lemma 7, there is a corresponding preferred extension E of some $(\mathcal{A}, \mathcal{R}, V, val, a)$ that does not contain x , contradicting x is an objectively acceptable argument of Δ .

Right to left: Let E' be any preferred extension of Δ_M , where E' must contain some set $\{(x_v P y_{v'}) \mid v >_a v'\} \cup \{(>_a)\}$. We have $(j - x) \in E'$, and by the right to left half of Lemma 7, there is a corresponding preferred extension E of some $(\mathcal{A}, \mathcal{R}, V, val, a)$ that contains x .

2) Left to right: Let E be the preferred extension of some $(\mathcal{A}, \mathcal{R}, V, val, a)$, $x \in E$. By the left to right half of Lemma 7, there is a corresponding preferred extension E' of Δ_M s.t. $(j - x) \in E'$.

Right to left: Let E' be any preferred extension of Δ_M , where E' must contain some set $\{(x_v P y_{v'}) \mid v >_a v'\} \cup \{(>_a)\}$. Let $(j - x) \in E'$. By the right to left half of Lemma 7, there is a corresponding preferred extension E of $(\mathcal{A}, \mathcal{R}, V, val, a)$ that contains x .

7.4 Proofs for Section 3.6

Lemmas 8, 9 and 10 are used in the proof of Theorem 7.

Lemma 8 Let $\Delta_H = ((\mathcal{A}_1, \mathcal{R}_1), \mathcal{D}_1), \dots, ((\mathcal{A}_n, \mathcal{R}_n), \mathcal{D}_n)$ be the partition of the bounded hierarchical $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$.

Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$ be the metalevel formulation of Δ as defined in Definition 28.

Then there exists a partition Δ_{MH} of Δ_M such that:

$\Delta_{MH} = ((\mathcal{A}'_1, \mathcal{R}'_1), (\mathcal{A}'_{1-\mathcal{D}}, \mathcal{R}'_{1-\mathcal{D}})), \dots, ((\mathcal{A}'_n, \mathcal{R}'_n), (\mathcal{A}'_{n-\mathcal{D}}, \mathcal{R}'_{n-\mathcal{D}}))$, where:

1. $\mathcal{A}_M = \bigcup_{i=1}^n (\mathcal{A}'_i \cup \mathcal{A}'_{i-\mathcal{D}})$ and $\mathcal{R}_M = \bigcup_{i=1}^n (\mathcal{R}'_i \cup \mathcal{R}'_{i-\mathcal{D}})$
2. for $i = 1 \dots n$, $(\mathcal{A}'_i, \mathcal{R}'_i)$ are the arguments and attacks in the Dung *MAF* formulation of $(\mathcal{A}_i, \mathcal{R}_i)$
3. for $i = 1 \dots n$: $(z, (y, x)) \in \mathcal{D}_i$ **iff**

$$\{(j - z), (r - z), (zD(yDx)), (ydefx)\} \subseteq \mathcal{A}'_{i-\mathcal{D}},$$

$$\{(j - z), (r - z), ((r - z), (zD(yDx))), ((zD(yDx)), (ydefx))\} \subseteq \mathcal{R}'_{i-\mathcal{D}}$$
4. $(\mathcal{A}'_{n-\mathcal{D}}, \mathcal{R}'_{n-\mathcal{D}}) = (\emptyset, \emptyset)$, $\mathcal{D}_n = \emptyset$

Proof Proof is obvious. Intuitively, the partition Δ_{MH} corresponds to the partition Δ_H , where each Dung framework $(\mathcal{A}_i, \mathcal{R}_i)$ is formulated as its Dung *MAF* with arguments and attacks $(\mathcal{A}'_i, \mathcal{R}'_i)$, and the \mathcal{D}_i attacks are formulated as the metalevel attacks in $(\mathcal{A}'_{i-\mathcal{D}}, \mathcal{R}'_{i-\mathcal{D}})$. We illustrate with the example in Figure 17.

Lemma 9 Let Δ_H , Δ_M and its partition $\Delta_{MH} = ((\mathcal{A}'_1, \mathcal{R}'_1), (\mathcal{A}'_{1-\mathcal{D}}, \mathcal{R}'_{1-\mathcal{D}})), \dots, ((\mathcal{A}'_n, \mathcal{R}'_n), (\mathcal{A}'_{n-\mathcal{D}}, \mathcal{R}'_{n-\mathcal{D}}))$ be defined as in Lemma 8. Let us define the tuple:

$$((\mathcal{A}'_1, \mathcal{R}'_1), \mathcal{R}'_{1-\mathcal{D}r}), \dots, ((\mathcal{A}'_n, \mathcal{R}'_n), \mathcal{R}'_{n-\mathcal{D}r})$$

where for $i = 1 \dots n - 1$, the Dung framework $(\mathcal{A}'_{i-\mathcal{D}}, \mathcal{R}'_{i-\mathcal{D}})$ with attacks $((j - z), (r - z), ((r - z), (zD(yDx))), ((zD(yDx)), (ydefx)))$, is replaced by the singleton

set of attacks $\mathcal{R}'_{i-D_r} = \{(j-z), (y\text{def}x)\}$ (notice that for $i = 1 \dots n-1$, $(y\text{def}x) \in \mathcal{A}'_i$ and $(j-z) \in \mathcal{A}'_{i+1}$)

Let the *reduction* Δ_{MHr} of Δ_{MH} be defined as $(\mathcal{A}_{MHr}, \mathcal{R}_{MHr})$ where ¹²:

$$\mathcal{A}_{MHr} = \bigcup_{i=1}^n \mathcal{A}'_i \text{ and } \mathcal{R}_{MHr} = \bigcup_{i=1}^n (\mathcal{R}'_i \cup \mathcal{R}'_{i-D_r}).$$

Then $\forall \alpha \in \mathcal{A}_{MHr} \cap \mathcal{A}_M$, for $s \in \{\text{complete, grounded, preferred, stable}\}$, α is a credulously, respectively sceptically justified argument of Δ_{MHr} under the s semantics, iff α is a credulously, respectively sceptically justified argument of Δ_M under the s semantics.

Proof: Δ_{MH} is an expansion of Δ_{MHr} such that each $((j-z), (y\text{def}x)) \in \mathcal{R}_{MHr}$ is expanded to obtain the set $\{((j-z), (r-z)), ((r-z), (zD(yDx))), ((zD(yDx)), (y\text{def}x))\}$ in \mathcal{R}_{MHr} . The result therefore follows from Corollary 1.

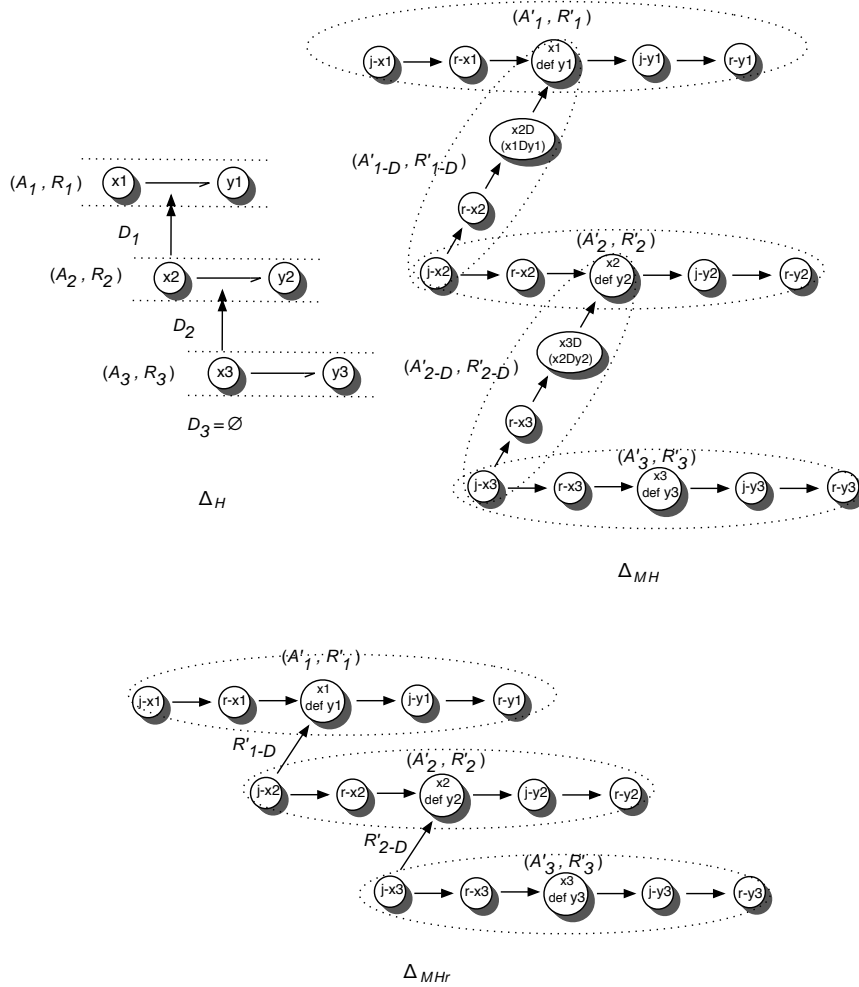


Figure 17: Δ_H is the hierarchical partition of the EAF Δ , and Δ_{MH} is the hierarchical partition of Δ 's metalevel formulation Δ_M . Δ_{MHr} is the reduction of Δ_{MH} .

¹²See Figure 17 for an example of Δ_{MH} and its reduction Δ_{MHr} .

Lemma 10 Let E be an admissible extension of a bounded hierarchical EAF $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$. Let $x \in E$, $x \rightarrow^E y$ and Rs a reinstatement set for $x \rightarrow^E y$. Then $\forall F \supset E$ s.t. F is admissible, $x \rightarrow^F y$ and Rs is a reinstatement set for $x \rightarrow^F y$.

Proof Given the partition $((\mathcal{A}_1, \mathcal{R}_1), \mathcal{D}_1), \dots, ((\mathcal{A}_n, \mathcal{R}_n), \mathcal{D}_n)$ of Δ , we can partition E into $E_1 \cup \dots \cup E_n$ where $x \in E_i$ iff $x \in \mathcal{A}_i$ and $(y, x) \in \mathcal{R}$ implies $(y, x) \in \mathcal{R}_i$, $(x, y) \in \mathcal{R}$ implies $(x, y) \in \mathcal{R}_i$, and $(y', (x, y)) \in \mathcal{D}$ implies $(y', (x, y)) \in \mathcal{D}_i$, $y' \in \mathcal{A}_{i+1}$. We now prove the result by induction on i :

Base case: Suppose $x \in E_{n-1}$, $x \rightarrow^E y$, and y'_1, \dots, y'_m s.t. for $k = 1 \dots m$, $(y'_k, (x, y)) \in \mathcal{D}_{n-1}$. Since $\mathcal{D}_n = \emptyset$, then the reinstatement set Rs for $x \rightarrow^E y$ is of the form $\{x \rightarrow^E y, x'_1 \rightarrow^E y'_1, \dots, x'_m \rightarrow^E y'_m\}$, since for $k = 1 \dots m \neg \exists (z, (x'_k, y'_k)) \in \mathcal{D}$. The latter also implies that for any admissible F s.t. $F \supset E$, $y'_k \notin F$ (since otherwise F would not be conflict free), $x \rightarrow^F y$ and $Rs = \{x \rightarrow^F y, x'_1 \rightarrow^F y'_1, \dots, x'_m \rightarrow^F y'_m\}$ is a reinstatement set for $x \rightarrow^F y$.

Inductive hypothesis: The result holds for $x \in E_j$, $j > i$.

General case: Suppose $x \in E_i$, $x \rightarrow^E y$ and a reinstatement set Rs for $x \rightarrow^E y$. Suppose $\{y'_1, \dots, y'_m\} = \{y' | (y', (x, y)) \in \mathcal{D}\}$. By assumption of Rs , for $k = 1 \dots m$, $\exists x'_k \in E_{i+1}$ s.t. $x'_k \rightarrow^E y'_k$. Hence, $Rs = \{x \rightarrow^E y\} \cup \bigcup_{k=1}^m Rs_k$ where Rs_k is a reinstatement set for $x'_k \rightarrow^E y'_k$. By inductive hypothesis, for $k = 1 \dots m$, $x'_k \rightarrow^F y'_k$ and Rs_k is a reinstatement set for $x'_k \rightarrow^F y'_k$. Hence, $x \rightarrow^F y$ (since for $k = 1 \dots m$, $y'_k \notin F$, given that by Proposition 2 in [38] no two arguments defeat $_F$ each other in a conflict free F) and $\bigcup_{k=1}^m Rs_k \cup \{x \rightarrow^F y\}$ is a reinstatement set for $x \rightarrow^F y$.

Theorem 7 Let $\Delta_M = (\mathcal{A}_M, \mathcal{R}_M, \mathcal{C}, \mathcal{L}_M, \mathcal{D}_e)$ be the E -MAF of a bounded hierarchical EAF $\Delta = (\mathcal{A}, \mathcal{R}, \mathcal{D})$. Then for $s \in \{\text{complete, grounded, preferred, stable}\}$, $(j - x) \in \mathcal{A}_M$ is a credulously, respectively sceptically, justified argument of Δ_M under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

Proof Let $\Delta_H = ((\mathcal{A}_1, \mathcal{R}_1), \mathcal{D}_1), \dots, ((\mathcal{A}_n, \mathcal{R}_n), \mathcal{D}_n)$ be the partition of Δ . Let Δ_{MH} be the partition of Δ_M . Let $\Delta_{MHr} = (\mathcal{A}_{MHr}, \mathcal{R}_{MHr})$ be the reduction of Δ_{MH} , as defined by Lemma 9 on the basis of

$$((\mathcal{A}'_1, \mathcal{R}'_1), \mathcal{R}'_{1-\mathcal{D}_r}), \dots, ((\mathcal{A}'_n, \mathcal{R}'_n), \mathcal{R}'_{n-\mathcal{D}_r})$$

Given Lemmas 8 and 9, it suffices to show that:

$(j - x) \in \mathcal{A}_{MHr}$ is a credulously, respectively sceptically, justified argument of Δ_{MHr} under the s semantics, iff $x \in \mathcal{A}$ is a credulously, respectively sceptically, justified argument of Δ under the s semantics.

To show the above we show that: E is an s extension of Δ iff E' is an s extension of Δ_{MHr} , where:

1. $x \in E$, x defeats $_E y$ and there is a reinstatement set for the defeat $x \rightarrow_E y$ iff $(x \text{ def } y), (r - y) \in E'$
2. $x \in E$ iff $(j - x) \in E'$

Observe that:

- O1 Referring to the EAF Δ and its hierarchical partition $\Delta_H: \forall (\beta, \alpha) \in \mathcal{R}, \forall (\gamma, (\beta, \alpha)) \in \mathcal{D}, (\beta, \alpha) \in \mathcal{R}_i$ iff $(\gamma, (\beta, \alpha)) \in \mathcal{D}_i, \gamma \in \mathcal{A}_{i+1}$

O2 For $i = 1 \dots n$: $(\mathcal{A}'_i, \mathcal{R}'_i)$ are the arguments and attacks in the Dung *MAF* formulation of $(\mathcal{A}_i, \mathcal{R}_i)$ in the partition Δ_H of Δ

O3 For $i = 1 \dots n - 1$, $(\gamma, \delta) \in \mathcal{R}'_{i-\mathcal{D}r}$ implies $\gamma \in \mathcal{A}'_{i+1}$, $\delta \in \mathcal{A}'_i$, and γ is an argument of the form $(j - z)$, δ is an argument of the form $(y \text{def} x)$.

O4 For $i = 1 \dots n$, $((j - z), (y \text{def} x)) \in \mathcal{R}'_{i-\mathcal{D}r}$ iff $(z, (y, x)) \in \mathcal{D}_i$.

O1 – O4 imply that E can be partitioned into E_1, \dots, E_n , and E' into E'_1, \dots, E'_n , and that the theorem is shown by proving by induction on i , the following result:

$E^i = (E_i \cup \dots \cup E_n)$ is an s extension of $(\mathcal{A}^i, \mathcal{R}^i, \mathcal{D}^i) = (\mathcal{A}_i \cup \dots \cup \mathcal{A}_n, \mathcal{R}_i \cup \dots \cup \mathcal{R}_n, \mathcal{D}_i \cup \dots \cup \mathcal{D}_n)$ iff $E^{i'} = (E'_i \cup \dots \cup E'_n)$ is an s extension of $(\mathcal{A}^{i'}, \mathcal{R}^{i'}) = (\mathcal{A}'_i \cup \dots \cup \mathcal{A}'_n, \mathcal{R}'_i \cup \mathcal{R}'_{i-\mathcal{D}r} \cup \dots \cup \mathcal{R}'_n \cup \mathcal{R}'_{n-\mathcal{D}r})$, where:

1. $z \in E^i$, z defeats $_{E^i}$ y and there is a reinstatement set for the defeat $z \rightarrow_{E^i} y$ iff $(z \text{ def } y), (r - y) \in E^{i'}$
2. $x \in E^i$ iff $(j - x) \in E^{i'}$

1) $s = \text{admissible}$. Firstly, note that:

R1 $E^i = (E_i \cup \dots \cup E_n)$ is an admissible extension of $(\mathcal{A}^i, \mathcal{R}^i, \mathcal{D}^i)$ implies $\forall j > i$, $E^j = (E_j \cup \dots \cup E_n)$ is an admissible extension of $(\mathcal{A}^j, \mathcal{R}^j, \mathcal{D}^j)$.

To show the above, assume $\alpha \in E^j$, $\beta \rightarrow_{E^j} \alpha$, where given the partition of Δ , if $(\gamma, (\beta, \alpha)) \in \mathcal{D}$ then $(\gamma, (\beta, \alpha)) \in \mathcal{D}^j$, $\gamma \in \mathcal{A}_{j+1}$. Hence $\beta \rightarrow_{E^i} \alpha$, and by the admissibility of E^i , $\exists \gamma \in E^i$, $\gamma \rightarrow_{E^i} \beta$ and there is a reinstatement set RS_i for $\gamma \rightarrow_{E^i} \beta$. Given the partition of Δ , $\gamma \in \mathcal{A}^j$ and if $(\delta, (\gamma, \beta)) \in \mathcal{D}$ then $(\delta, (\gamma, \beta)) \in \mathcal{D}^j$, $\delta \in \mathcal{A}_{j+1}$. Hence, it is straightforward to show that $\gamma \rightarrow_{E^j} \beta$ and there is a reinstatement set RS_j for $\gamma \rightarrow_{E^j} \beta$.

R2 $E^{i'}$ is an admissible extension of $(\mathcal{A}^{i'}, \mathcal{R}^{i'})$ implies $\forall j > i$, $E^{j'}$ is an admissible extension of $(\mathcal{A}^{j'}, \mathcal{R}^{j'})$.

This follows given Δ 's partition, which implies that $\forall j > i$, no argument in $(\mathcal{A}^{i'} - \mathcal{A}^{j'}) \mathcal{R}^{i'}$ attacks an argument in $\mathcal{A}^{j'}$, and so for any $\alpha \in E^{j'}$, $(\beta, \alpha) \in \mathcal{R}^{i'}$ iff $(\beta, \alpha) \in \mathcal{R}^{j'}$, and $\exists \gamma \in E^{i'}$ s.t. $(\gamma, \beta) \in \mathcal{R}^{i'}$ iff $\gamma \in E^{j'}$ and $(\gamma, \beta) \in \mathcal{R}^{j'}$.

Base case ($i = n$): Since $\mathcal{D}_n = \emptyset$, z defeats $_{E^n}$ y iff $z \mathcal{R}_n y$, and trivially there is a reinstatement set for $z \rightarrow_{E^n} y$. Also, $\mathcal{R}_{n-\mathcal{D}r} = \emptyset$, and $(\mathcal{A}'_n, \mathcal{R}'_n)$ are the arguments and attacks in the Dung *MAF* formulation of $(\mathcal{A}_n, \mathcal{R}_n)$. Hence, for $i = n$, the result follows immediately from Corollary 2.

Inductive hypothesis (IH): The result holds for $j > i$.

General Case:

Left to right half: Let $E^i = (E_i \cup \dots \cup E_n)$ be an admissible extension of $(\mathcal{A}^i, \mathcal{R}^i, \mathcal{D}^i)$. We show that $E^{i'}$ as defined above is an admissible extension of $(\mathcal{A}^{i'}, \mathcal{R}^{i'})$. By R1, $E^{i+1} = (E_{i+1} \cup \dots \cup E_n)$ is an admissible extension of $(\mathcal{A}^{i+1}, \mathcal{R}^{i+1}, \mathcal{D}^{i+1})$, and by IH, $E^{i+1'} = (E'_{i+1} \cup \dots \cup E'_n)$ is an admissible extension of $(\mathcal{A}^{i+1'}, \mathcal{R}^{i+1'})$. We show that $E^{i'}$, where $E^{i'} \supset E^{i+1'}$, is an admissible extension of $(\mathcal{A}^{i'} \mathcal{R}^{i'})$.

Suppose $x \in E_i$. Then $(j - x) \in E_{i'}$. We show $(j - x)$ is acceptable w.r.t. $E^{i'}$:

By definition of Δ and Δ_{MHR} , $\exists(y, x) \in \mathcal{R}^i$ iff $\exists((y\text{def}x), (j-x)) \in \mathcal{R}^{i'}$. Suppose $y\mathcal{R}^i x$. By assumption of x acceptable w.r.t. E^i , $\exists z \in E_i$ s.t. $z \rightarrow^{E^i} y$ and there is a reinstatement set for $z \rightarrow^{E^i} y$. By definition of $E^{i'}$, $(j-z), (z\text{def}y), (r-y) \in E^{i'}$, where $(r-y)\mathcal{R}^{i'}(y\text{def}x)$, and so $(j-x)$ is acceptable w.r.t. $E^{i'}$.

The result is shown in full by showing that $(r-y)$ and $(z\text{def}y)$ are acceptable w.r.t. $E^{i'}$:

Firstly, $(z\text{def}y) \in E'_i$ reinstates $(r-y)$ against the attack $(j-y)\mathcal{R}^{i'}(r-y)$. Secondly, $(j-z) \in E'_i$ reinstates $(z\text{def}y)$ against the attack $(r-z)\mathcal{R}^{i'}(z\text{def}y)$. However, suppose $\exists(j-y') \in E'_{i+1}$ s.t. $((j-y'), (z\text{def}y)) \in \mathcal{R}'_{i-\mathcal{D}r}$. Hence, $(y', (z, y)) \in \mathcal{D}_i$, and by assumption of a reinstatement set for $z \rightarrow^{E^i} y$, $\exists z' \in E_{i+1}$, $z' \rightarrow^{E^i} y'$, and there is a reinstatement set for $z' \rightarrow^{E^i} y'$. By R1 and IH above, $(j-z'), (z'\text{def}y') \in E'_{i+1}$, and given $(z'\text{def}y')\mathcal{R}^{i+1'}(j-y')$ and so $(z'\text{def}y')\mathcal{R}^{i'}(j-y')$, $(z\text{def}y)$ is acceptable w.r.t. $E^{i'}$.

Right to left half: Let $E^{i'} = (E'_i \cup \dots \cup E'_n)$ be an admissible extension of $(\mathcal{A}^{i'}, \mathcal{R}^{i'})$. We show that E^i as defined above is an admissible extension of $(\mathcal{A}^i, \mathcal{R}^i, \mathcal{D}^i)$. By R2, $E^{i+1'} = (E'_{i+1} \cup \dots \cup E'_n)$ is an admissible extension of $(\mathcal{A}^{i+1'}, \mathcal{R}^{i+1'})$, and by IH, $E^{i+1} = (E_{i+1} \cup \dots \cup E_n)$ is an admissible extension of $(\mathcal{A}^{i+1}, \mathcal{R}^{i+1}, \mathcal{D}^{i+1})$. We show that E^i , where $E^i \supset E^{i+1}$, is an admissible extension of $(\mathcal{A}^i, \mathcal{R}^i, \mathcal{D}^i)$.

Suppose $(j-x) \in E'_i$. Then $x \in E_i$. We show x is acceptable w.r.t. E^i :

By definition of Δ and Δ_{MHR} , $\exists(y, x) \in \mathcal{R}^i$ iff $\exists((y\text{def}x), (j-x)) \in \mathcal{R}^{i'}$. Suppose $((y\text{def}x), (j-x)) \in \mathcal{R}^{i'}$. Since $E^{i'}$ is admissible, either:

a) $\exists(j-x') \in E'_{i+1}$ s.t. $(j-x')\mathcal{R}'_{i-\mathcal{D}r}(y\text{def}x)$, and so $(x', (y, x)) \in \mathcal{D}_i$. By R2 and IH, $x' \in E_{i+1}$, and so $y \rightarrow^{E^i} x$,

or;

b) $\exists(r-y) \in E'_i$ s.t. $(r-y)\mathcal{R}^{i'}(y\text{def}x)$, and since $(j-y)\mathcal{R}^{i'}(r-y)$, $\exists(z\text{def}y) \in E'_i$ s.t. $(z\text{def}y)\mathcal{R}^{i'}(j-y)$ (and so $z\mathcal{R}^i y$) and since $(r-z)\mathcal{R}^{i'}(z\text{def}y)$, $\exists(j-z) \in E'_i$ s.t. $(j-z)\mathcal{R}^{i'}(z\text{def}y)$. By definition, $z \in E_i$.

Suppose $(j-y'_1) \dots (j-y'_m) \in \mathcal{A}'_{i+1}$ s.t. for $k = 1 \dots m$, $(j-y'_k)\mathcal{R}^{i'}(z\text{def}y)$, in which case for $k = 1 \dots m$, $(y'_k, (z, y)) \in \mathcal{D}_i$. For each such $(j-y'_k)$, by admissibility of $E^{i'}$, $\exists(z'\text{def}y'_k) \in E'_{i+1}$ s.t. $(z'\text{def}y'_k)\mathcal{R}^{i'}(j-y'_k)$, and since $(r-z')\mathcal{R}^{i'}(z'\text{def}y'_k)$, $\exists(j-z') \in E'_{i+1}$ s.t. $(j-z')\mathcal{R}^{i'}(r-z')$. By R2 and IH, $z' \in E_{i+1}$, $z' \rightarrow^{E^{i+1}} y'_k$, and there is a reinstatement set Rs_k for $z' \rightarrow^{E^{i+1}} y'_k$.

Hence, we have $z \in E_i$, $z \rightarrow^{E^i} y$, and there is a reinstatement set $\bigcup_{k=1}^m Rs_k \cup \{z \rightarrow^{E^i} y\}$ for $z \rightarrow^{E^i} y$. Hence x is acceptable w.r.t. E^i .

We have shown: **1.1** = the left to right half for $s = \text{admissible}$, and; **1.2** = the right to left half for $s = \text{admissible}$. We define functions f and g s.t.

For any admissible extension E of Δ , $E' = h(E)$.

For any admissible extension E' of Δ_{MHR} , $E = g(E')$.

From hereon, we will let $\Delta' = (\mathcal{A}', \mathcal{R}')$ denote Δ_{MHR} . We show that:

a) h is monotonically strictly increasing.

Suppose E and by **1.1** the corresponding admissible $E' = h(E)$. Suppose $E \subset F$ and by **1.1** the corresponding admissible $F' = h(F)$. Suppose $\exists x \in E$ s.t. $x \rightarrow^E y$

and there is a reinstatement set for $x \rightarrow^E y$. By lemma 10, $x \rightarrow^F y$ and there is a reinstatement set for $x \rightarrow^F y$, and so it must be that $E' \subset F'$.

b) g is monotonically strictly increasing.

Suppose E' and by **1.2** the corresponding admissible $E = g(E')$. Suppose $E' \subset F'$, where:

$\forall \alpha \in (F' - E')$, if α is of the form $(j - x)$, or α is of the form $(r - y)$ or $(x \text{def} y)$, then $x \notin E$, respectively $\neg \exists x \in E$ s.t. $x \rightarrow^E y$ and there is a reinstatement set for $x \rightarrow^E y$, since otherwise, by **1.1**, we would have $(j - x) \in E'$, respectively $(r - y)$ or $(x \text{def} y) \in E'$. **(i)**

By **1.2**, let F be the corresponding admissible extension of Δ . If $\exists (j - x) \in (F' - E')$ then $x \in F$, and by **i)**, $E \subset F$. If $\exists (r - y) \in (F' - E')$ or $\exists (x \text{def} y) \in (F' - E')$, then $\exists x \in F$ s.t. $x \rightarrow^F y$ and there is a reinstatement set for $x \rightarrow^F y$. Given **i)**, there are three cases to consider: 1) Suppose $x \in E$ and $x \rightarrow^E y$. Then given $E \subseteq F$ it cannot be that $x \rightarrow^F y$; 2) Suppose $x \in E$, $x \rightarrow^E y$ and there is no reinstatement set for $x \rightarrow^E y$. But then since there is a reinstatement set for $x \rightarrow^F y$, it must be that $E \subset F$; 3) Suppose $x \notin E$. Then $E \subset F$.

Left to right and right to left half for $s \in \{\text{complete, grounded, preferred}\}$. Given **a)** and **b)**, the theorem is shown to hold in exactly the same way as in Lemma 3.

Left to right half for $s = \text{stable}$: Suppose E is stable. Hence E is complete¹³. By the left to right for $s = \text{complete}$, E' is complete. Suppose $\alpha \notin E'$, α is not \mathcal{R}' attacked by an argument in E' . There are three cases to consider:

a) α is some $(j - x) \notin E'$. Then $x \notin E$, $\exists y \in E$ s.t. $y \rightarrow^E x$. Notice that there must be a reinstatement set for $y \rightarrow^E x$, since to suppose otherwise means that for some $y' \in E$, $x' \notin E$ s.t. $y' \rightarrow^E x'$, then $\exists (x'', (y', x')) \in \mathcal{D}$ s.t. $x'' \notin E$, and $\neg \exists y'' \in E$ s.t. $y'' \rightarrow^E x''$, contradicting E is stable. Hence, $(y \text{def} x) \in E'$, where $(y \text{def} x) \mathcal{R}'(j - x)$.

b) Suppose some $(r - x) \notin E'$, and so given $(j - x) \mathcal{R}'(r - x)$, $(j - x) \notin E'$. But then we have shown in a) that $(y \text{def} x) \in E'$, where $(y \text{def} x) \mathcal{R}'(j - x)$, and so $(r - x)$ is acceptable w.r.t. E' , contradicting E' is complete.

c) Suppose some $(y \text{def} x) \notin E'$, and so given $(r - y) \mathcal{R}'(y \text{def} x)$, $(r - y) \notin E'$. But then we have shown in b) that $(j - y) \in E'$, $(j - y) \mathcal{R}'(r - y)$. Suppose some $(j - x')$ s.t. $(j - x') \mathcal{R}'(y \text{def} x)$, $(j - x') \notin E'$. We have shown in a) that $(y' \text{def} x') \in E'$, where $(y' \text{def} x') \mathcal{R}'(j - x')$. Hence $(y \text{def} x)$ is acceptable w.r.t. E' , contradicting E' is complete.

Right to left half for $s = \text{stable}$: Suppose E' is a stable extension. By the right to left for $s = \text{complete}$, E is complete. Suppose some $x \notin E$. Then $(j - x) \notin E'$, $\exists (y \text{def} x) \in E'$ s.t. $(y \text{def} x) \mathcal{R}'(j - x)$, and by the right to left half for $s = \text{complete}$, $y \in E$, $y \rightarrow^E x$.

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¹³Suppose otherwise. Then $x \notin E$ and x acceptable w.r.t. E . Since E is stable, $\exists y \in E$ s.t. $y \rightarrow^E x$, and by acceptability of x , $\exists z \in E$ s.t. $z \rightarrow^E y$, contradicting Proposition 2 in [38] which states that no two arguments defeat_E each other in a conflict free E .

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